

Turing Machine I

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Adapted From:

IALC 1.1,1.5,2.2,8.2

Stanford CS103 Turing Machine

Review

- **Closure of Relations**
 - Transitive closure: Warshall Algorithm
 - Combination of closures
- **Function**
 - Definition and common functions.
 - Injective, surjective, bijective.
 - Inverse of function.

How we investigate computability?

2.1 Discussion on Problems

Part1. Intro & Set theory(I) : Basics & Formal Language.

Part2. Set Theory(II): Axiom system & Cardinality.

Part3. Capture Structures: Binary Relation & Function

2.2 Discussion on Computation

Part1. Turing Machine Basics.

Part2. Variants of Turing Machine. Church-Turing Thesis.

2.3 Discussion on computability

Part1. The Language of Turing Machine. R & RE

Part2. Undecidability.

Ultimate Problem:

What problems can a computer solve?

What We Have Done

- **Computational Problem(计算问题)**
 - Well-defined input
 - Constraints that the output must satisfy.
- **A computational problem is computable(可计算) if there is a procedure (or algorithm) for it which:**
 - Rigorously follows some instructions, requires no ingenuity.
 - Always finishes (terminates) after a finite number of steps.

What We Have Done

- A computational problem can be converted into language recognizing problem.
 - Set basics & formal language theory
 - A language is naturally a set of string.
 - Given a language L and take w as input, determine whether $w \in L$.

~~What problems can a computer solve?~~

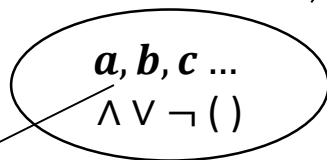


What languages can a computer recognize?

Recap: Formal Languages

*Alphabets are finite, non-empty
sets of characters*

Alphabet: Σ



finite sequence

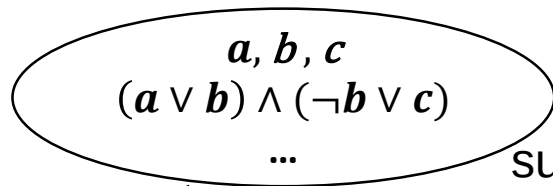
A string

$(a \vee b) \wedge (\neg b \vee c)$

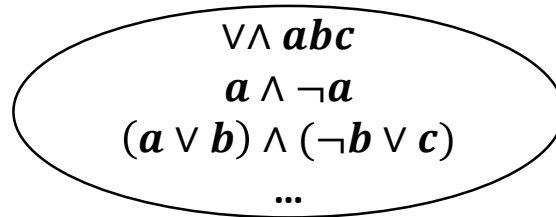
*Strings are
finite sequence
of characters*

*Characters are
individual
symbols*

All strings



subset of Σ^*



Set of all string :
 Σ^*

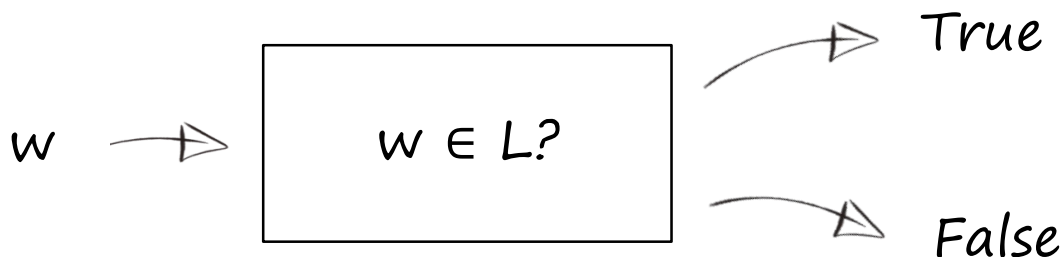
*Languages
are sets of
strings.*

A language

Recap: Language Recognizing

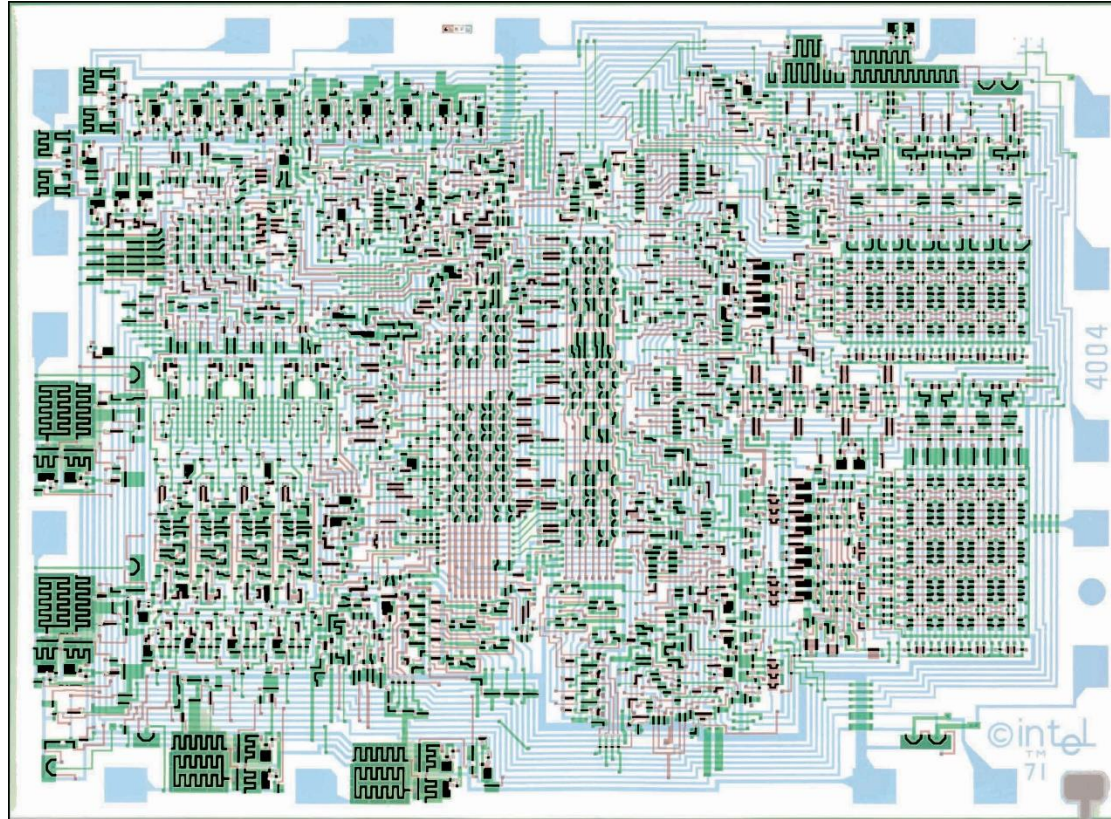
Throughout the whole course of computability, we'll focus on the membership problem of a language, or language recognizing, that is:

Given a language L and take w as input, determine whether $w \in L$.



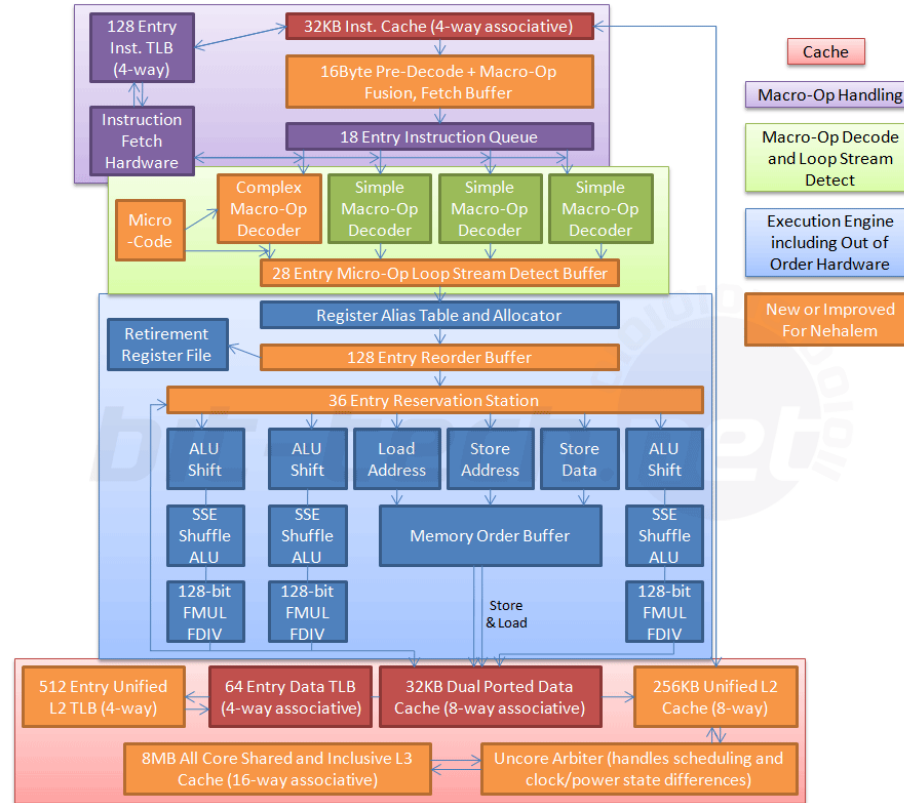
What languages can a *computer* recognize?

Computers are complicated.



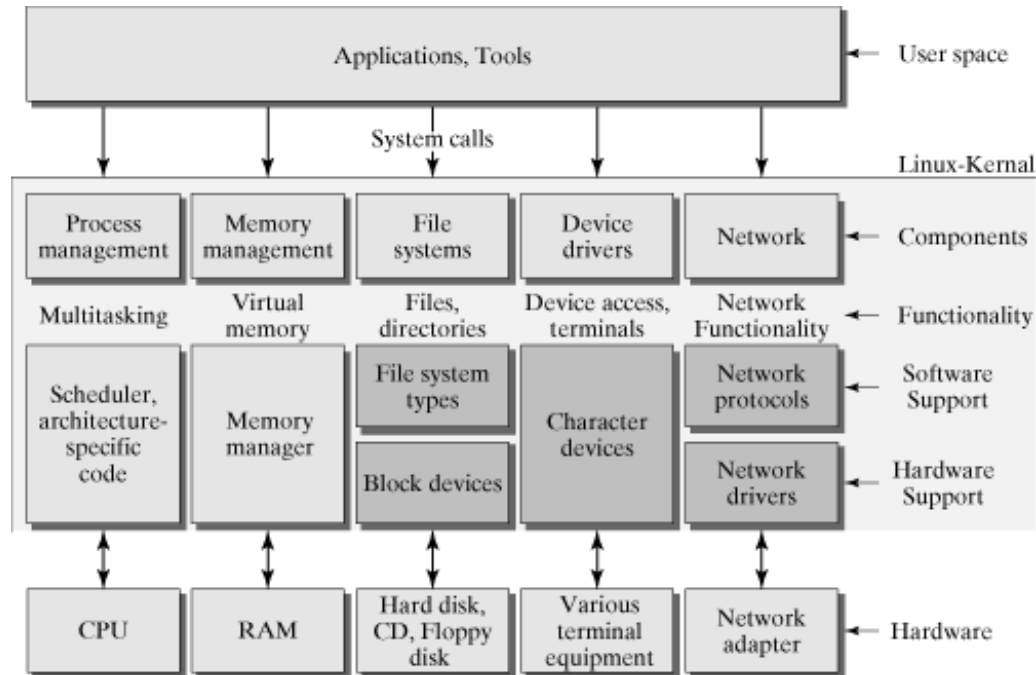
Intel 4004, first commercial CPU

Computers are complicated.



Core i7 Architecture.

Computers are complicated.



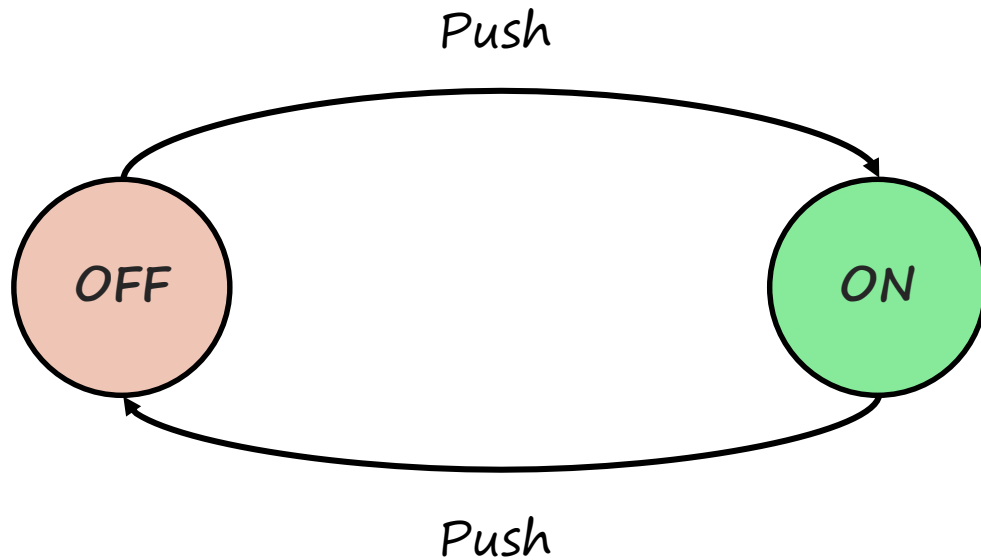
Architecture of Operating System

*We need to model computer in a simple
and clean manner!!*

Automata(自动机) Theory

- An automaton is a mathematical model of a computing device
 - It is significantly easier to manipulate our abstract models of computers than it is to manipulate actual computers
 - If we pick our models correctly, we can make broad, sweeping claims about huge classes of real computers by arguing that they're just special cases of our more general models.

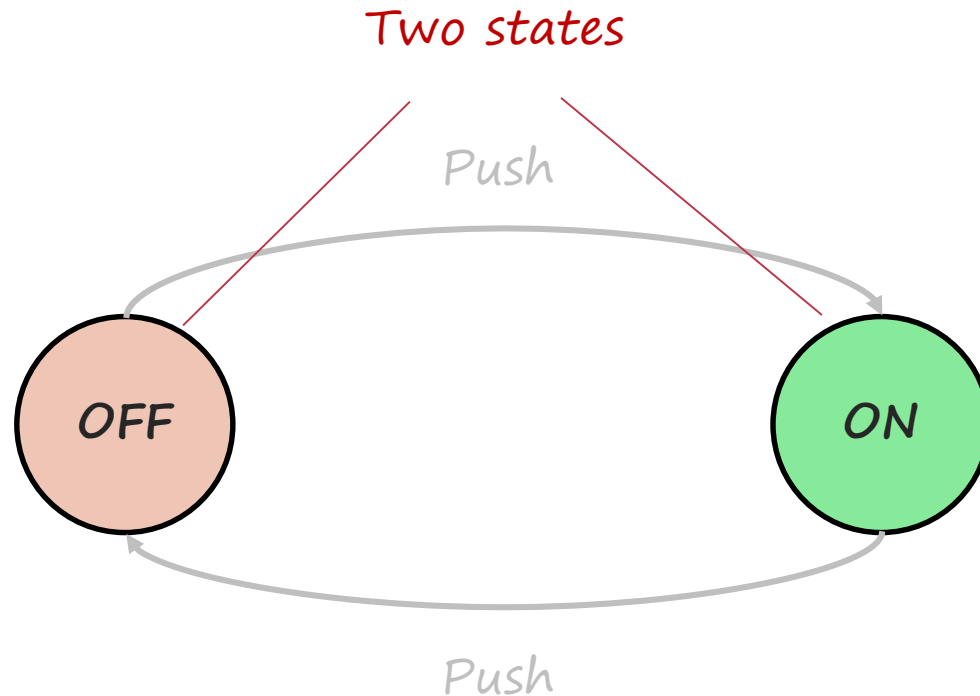
What is an Automaton



An “automata” for an on-off switch

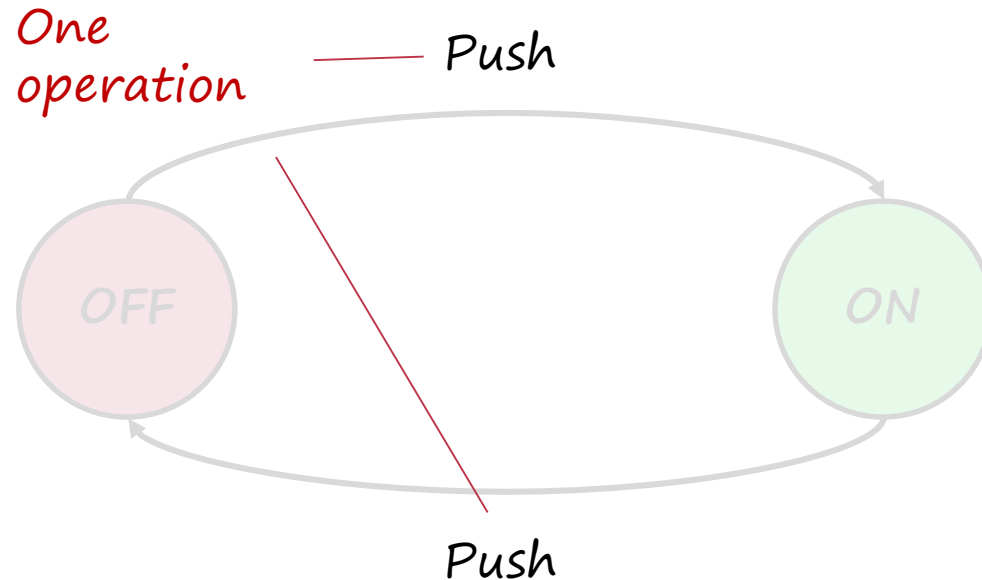
What is an Automaton

- $Q: \{\text{"off"}, \text{"on"}\}$



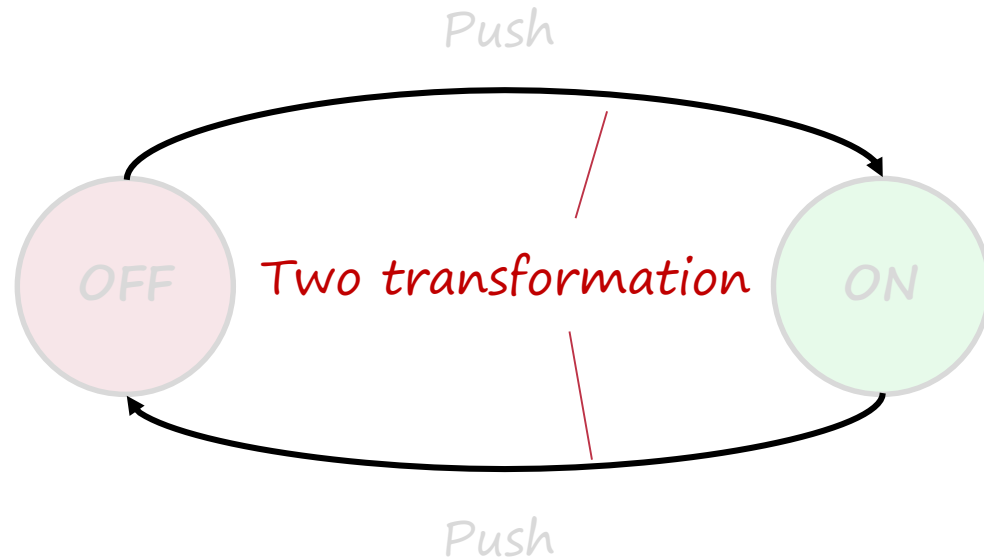
What is an Automaton

- $Q: \{\text{"off"}, \text{"on"}\}$
- $\Sigma : \{\text{"push"}\}$

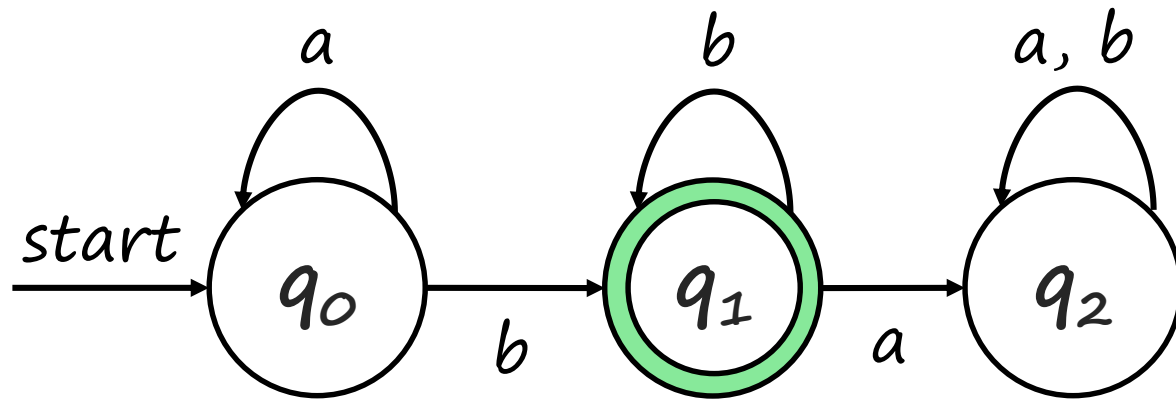


What is an Automaton

- $Q: \{\text{"off"}, \text{"on"}\}$
- $\Sigma : \{\text{"push"}\}$
- $\delta: \{(\text{"on"}, \text{"push"}, \text{"off"}), (\text{"off"}, \text{"push"}, \text{"on"})\}$

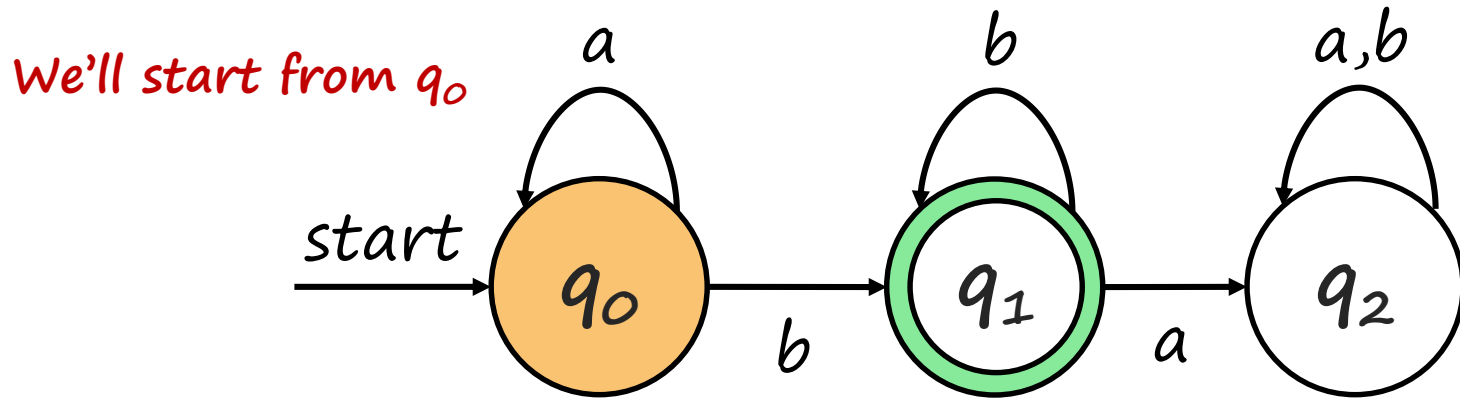


What is an Automaton



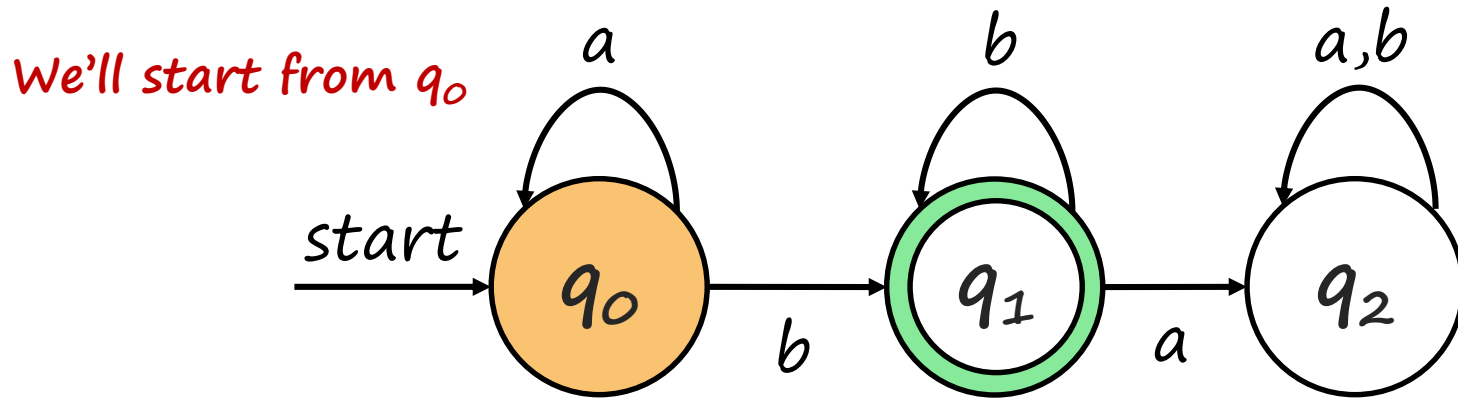
A automata for “aa...bb...”

What is an Automaton



Input: a a b b

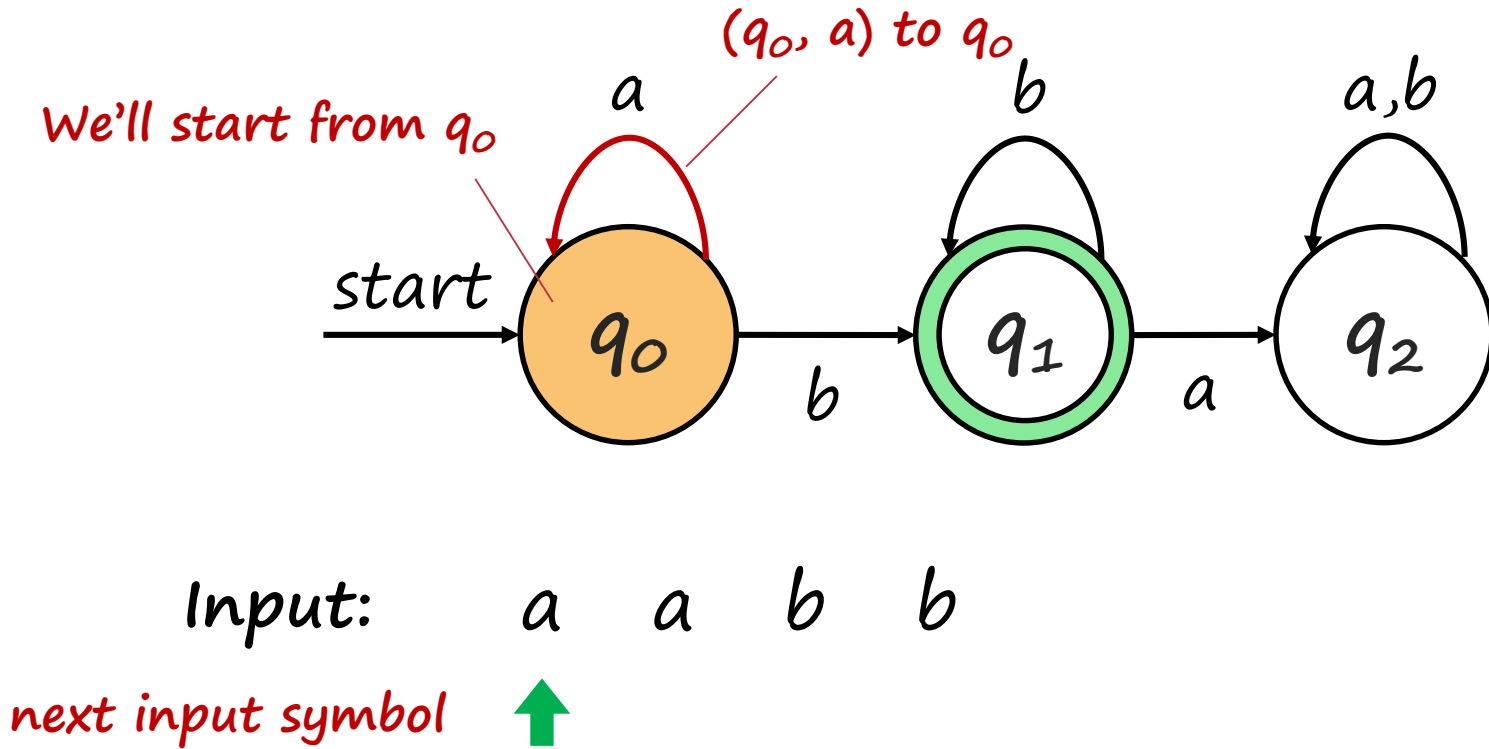
What is an Automaton



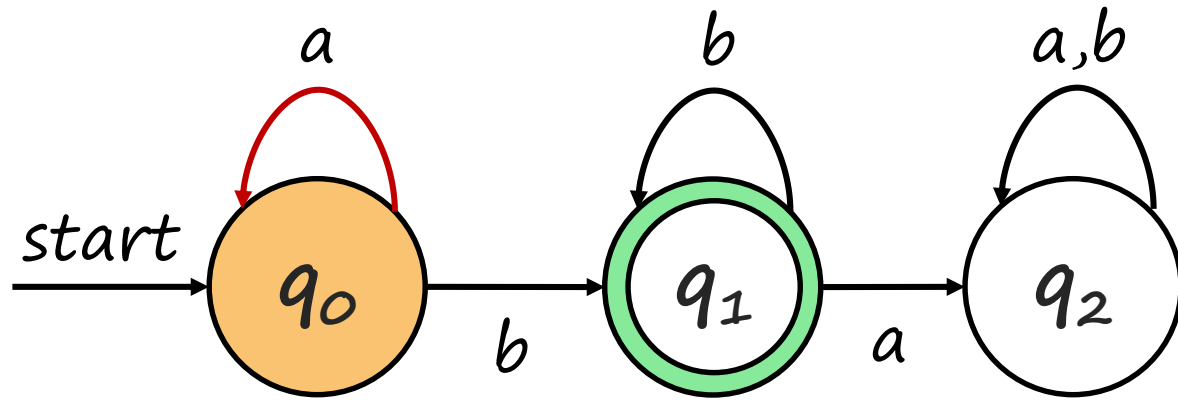
Input: a a b b

next input symbol ↑

What is an Automaton



What is an Automaton

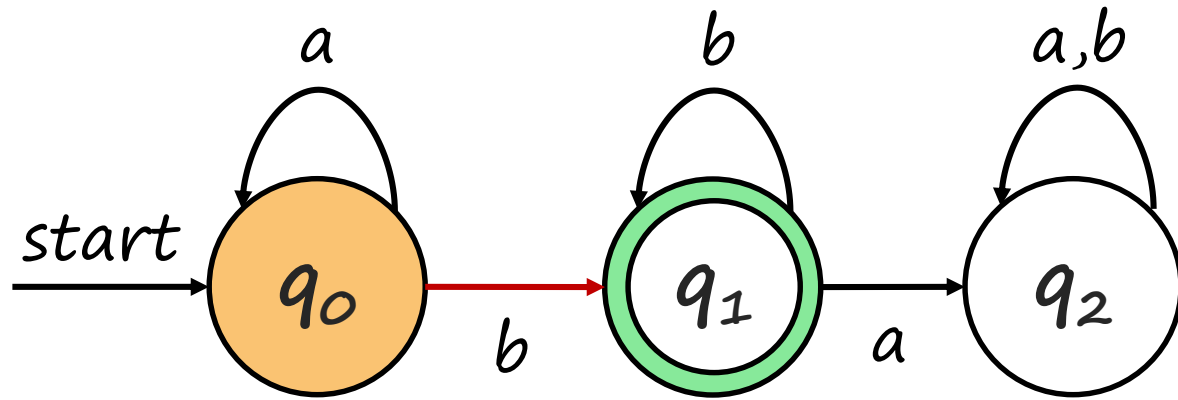


Input: a a b b

next input symbol



What is an Automaton

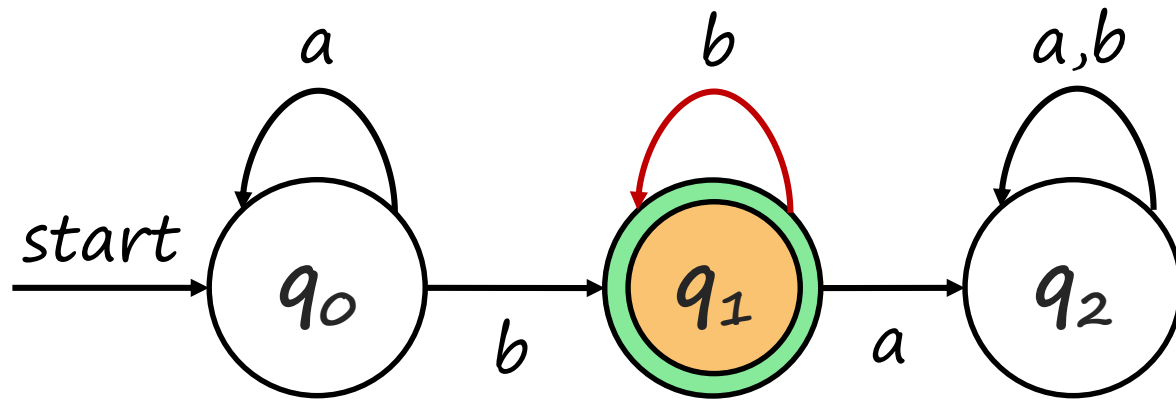


Input: a a b b

next input symbol



What is an Automaton



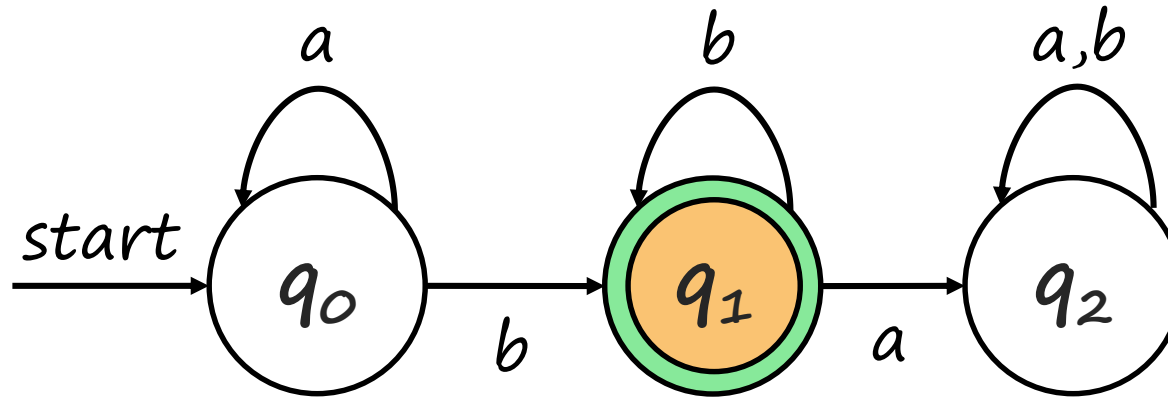
Input: a a b b

next input symbol



What is an Automaton

q_1 is the final state.

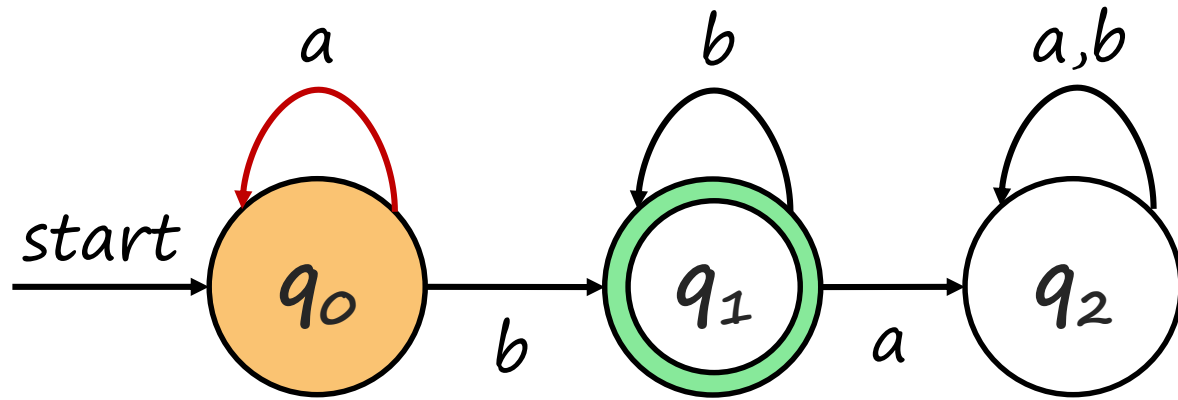


Input: a a b b

next input symbol



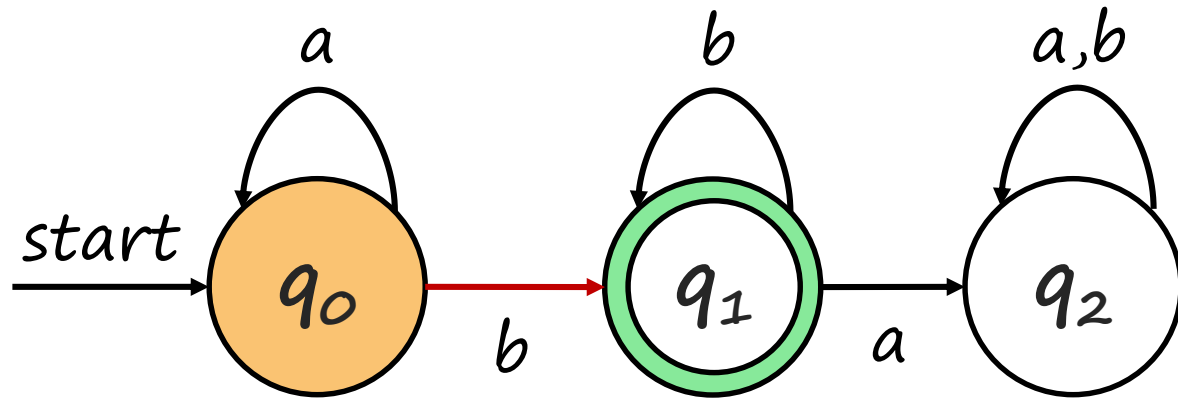
What is an Automaton



Input: a b b a

next input symbol ↑

What is an Automaton

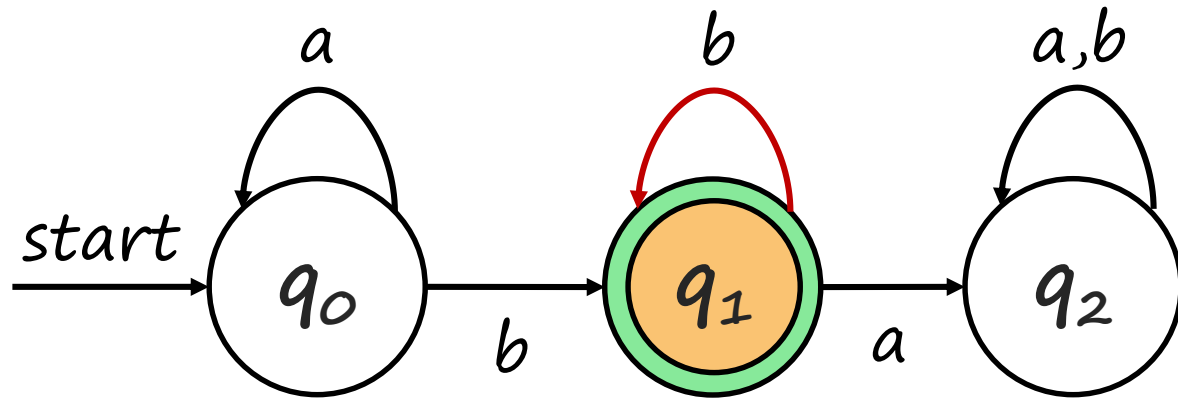


Input: a b b a

next input symbol



What is an Automaton

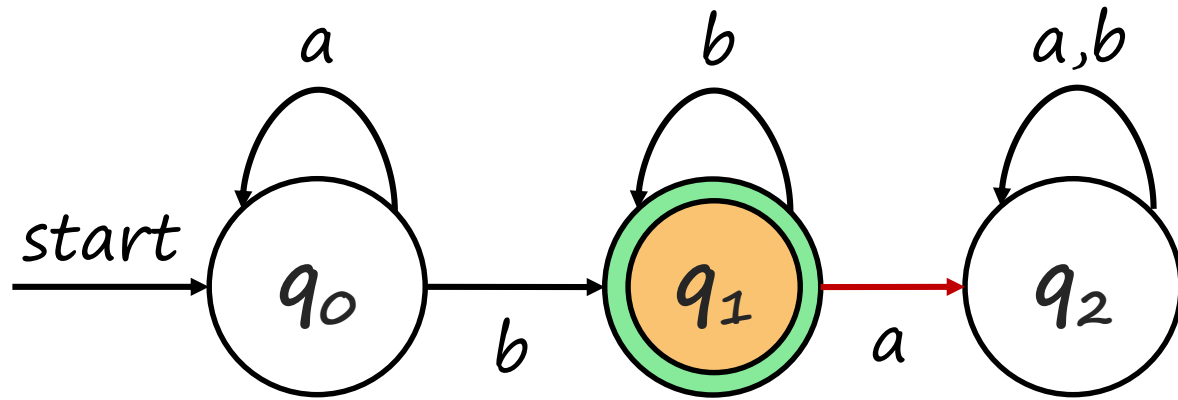


Input: a b b a

next input symbol



What is an Automaton



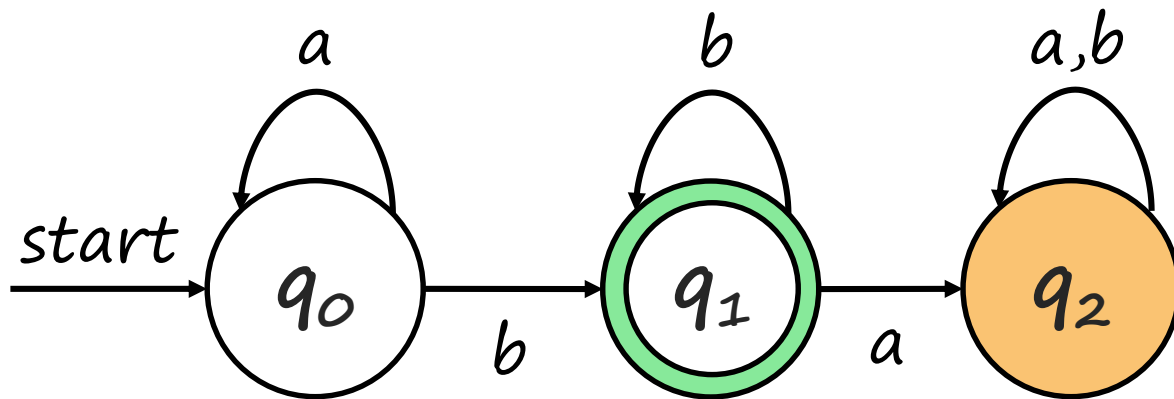
Input: a b b a

next input symbol



What is an Automaton

q_2 is not the final state.



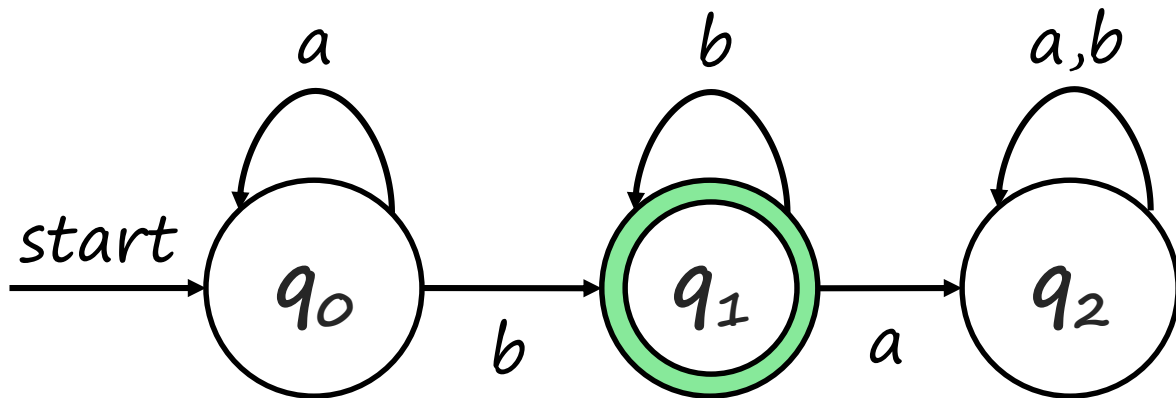
Input: a b b a

next input symbol



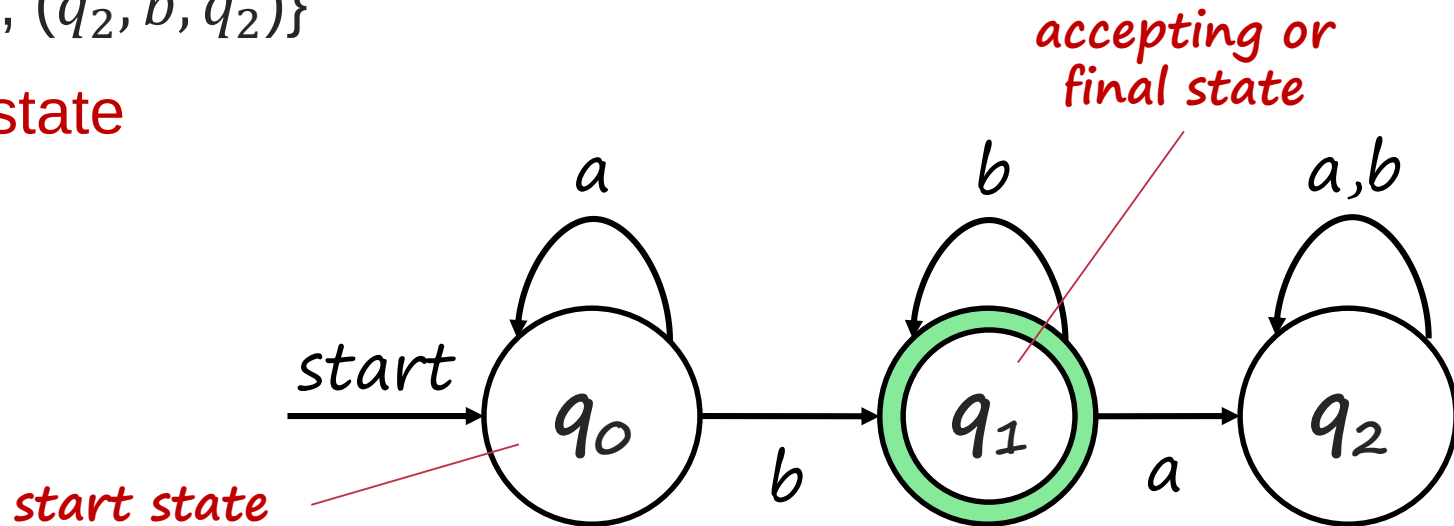
What is an Automaton

- $Q: \{q_0, q_1, q_2\}$
- $\Sigma : \{a, b\}$
- $\delta: \{(q_0, a, q_0), (q_0, b, q_1), (q_1, b, q_1), (q_1, a, q_2), (q_2, a, q_2), (q_2, b, q_2)\}$



What is an Automaton

- $Q: \{q_0, q_1, q_2\}$
- $\Sigma : \{a, b\}$
- $\delta: \{(q_0, a, q_0), (q_0, b, q_1), (q_1, b, q_1), (q_1, a, q_2), (q_2, a, q_2), (q_2, b, q_2)\}$
- q_0 : start state
- $F: \{q_1\}$



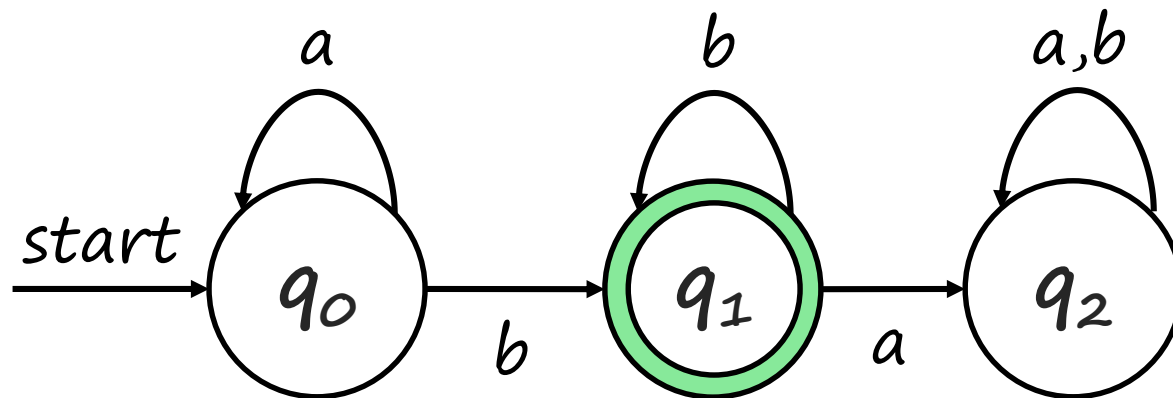
Deterministic Finite Automaton(DFA, 确定有限自动机)

- A **deterministic finite automaton(DFA)** consists of:
 - Q : A finite set of states
 - Σ : A finite set of input symbols
 - δ : A transition function. $Q \times \Sigma \rightarrow Q$
 - q_0 : The start state
 - F : A set of final or accepting states. $F \subseteq Q$
- We can denote a DFA as a “five tuple”
$$A = (Q, \Sigma, \delta, q_0, F)$$

Deterministic Finite Automaton(DFA)

- A DFA takes a finite string(sequence of input symbols) as input, and process the input symbols of the string one by one, and change its state according to the transition function δ .
- DFA will always stop, if the state is in the set of accepting states(F) when a DFA stops, we say that this DFA **accepts** the input. Otherwise it **rejects** the input.

Simpler Notations for DFA



Transition
Diagram

		a	b
start state →	q ₀	q ₀	q ₁
	* q ₁	q ₂	q ₁
	q ₂	q ₂	q ₂

Transition
Table

Extending the Transition Function

For a DFA $(Q, \Sigma, \delta, q_0, F)$, we can define an **extended transition function**

$$\hat{\delta}: Q \times \Sigma^* \rightarrow Q$$

$\hat{\delta}$ takes a state q and a string w as input, and returns the state after processing string w from state q .

- $\hat{\delta}(q, \epsilon) = q$
- If $w = xa$, where $a \in \Sigma$. Then $\hat{\delta}(q, w) = \delta(\hat{\delta}(q, x), a)$

Extending the Transition Function

- $\hat{\delta}(q_0, \epsilon) = q_0$
- $\hat{\delta}(q_0, 1) = \delta(\hat{\delta}(q_0, \epsilon), 1) = \delta(q_0, 1) = q_1$
- $\hat{\delta}(q_0, 11) = \delta(\hat{\delta}(q_0, 1), 1) = \delta(q_1, 1) = q_0$
- $\hat{\delta}(q_0, 110) = \delta(\hat{\delta}(q_0, 11), 0) = \delta(q_0, 0) = q_2$
- $\hat{\delta}(q_0, 1101) = \delta(\hat{\delta}(q_0, 110), 1) = \delta(q_2, 1) = q_3$
- $\hat{\delta}(q_0, 11010) = \delta(\hat{\delta}(q_0, 1101), 0) = \delta(q_3, 0) = q_1$
- $\hat{\delta}(q_0, 110101) = \delta(\hat{\delta}(q_0, 11010), 1) = \delta(q_1, 1) = q_0$

	0	1
* $\rightarrow q_0$	q_2	q_1
q_1	q_3	q_0
q_2	q_0	q_3
q_3	q_1	q_2

The Language of DFA

- For a DFA $A = (Q, \Sigma, \delta, q_0, F)$, the language of A , denoted as $L(A)$, is the set of all strings that A accepts.

$$L(A) = \{w \mid \hat{\delta}(q_0, w) \in F\}$$

- For a certain language L , if there exists a DFA A such that $L = L(A)$, then we call L is a **regular language(正则语言)**.

We'll not take much time in DFA and regular language. Feel free to read chapter 2,3,4 in IALC if you are interested in it.

Regular Expression(正则表达式)

- Regular expressions are used to denote regular languages.
- For an alphabet Σ
 - $\emptyset, \epsilon, a$ are regular expressions corresponding to languages $\emptyset, \{\epsilon\}, \{a\}$, where $a \in \Sigma$
 - If r, s are regular expression corresponding to language L_r, L_s .
 - $r + s$ (or $r|s$): $L_r \cup L_s$
 - rs : $\{wu | w \in L_r \wedge u \in L_s\}$
 - r^k : $\{w_1 w_2 \cdots w_{k-1} w_k | w_1 \in L_r \wedge w_2 \in L_r \wedge \cdots w_k \in L_r\}$
 - r^+ : $L_{r^1} \cup L_{r^2} \cup L_{r^3} \dots \cup L_{r^n} \dots$
 - r^* : $L_{r^+} \cup \{\epsilon\}$

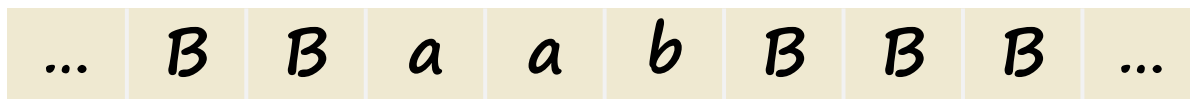
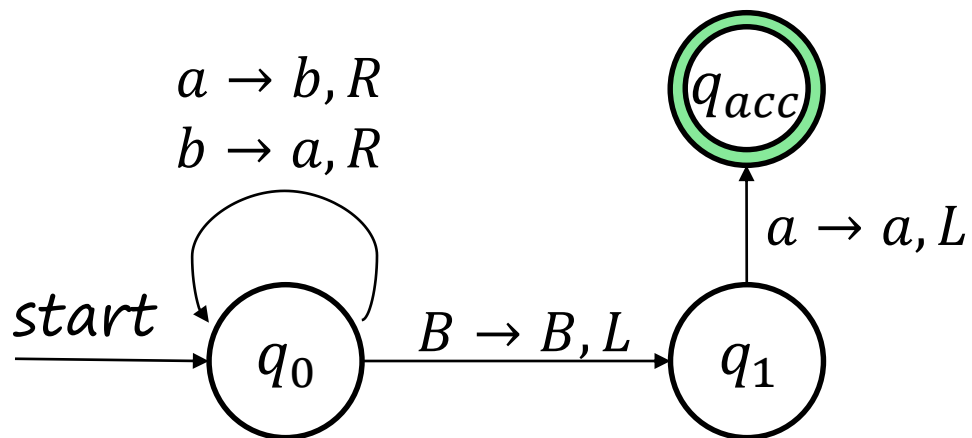
But DFA can not recognize all the languages.

The Limitation of DFA

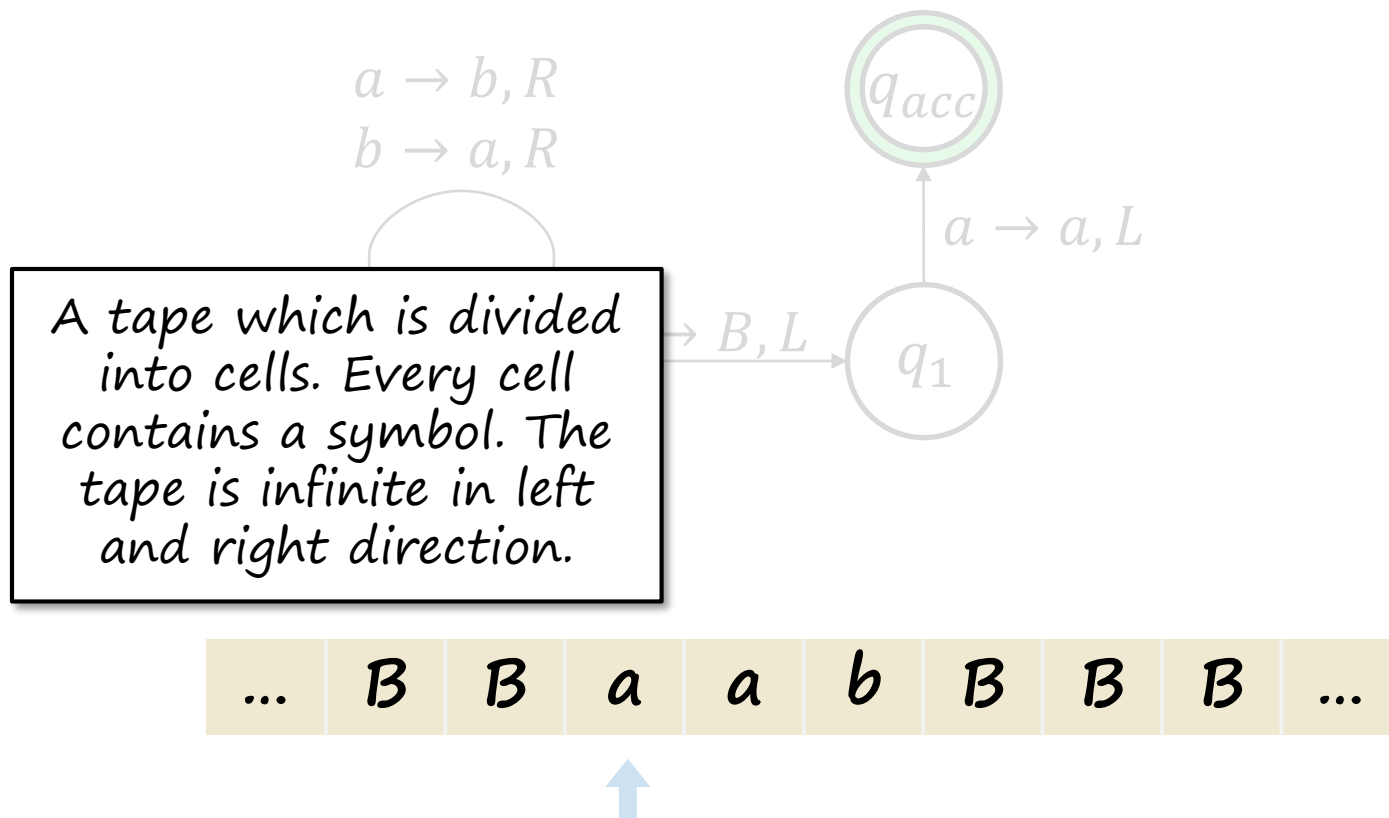
- There is no DFA for languages like $\{0^n 1^n | n \in \mathbb{N}^+\}$.
- We need a more powerful “machine” to model our computer.

Turning Machine!!

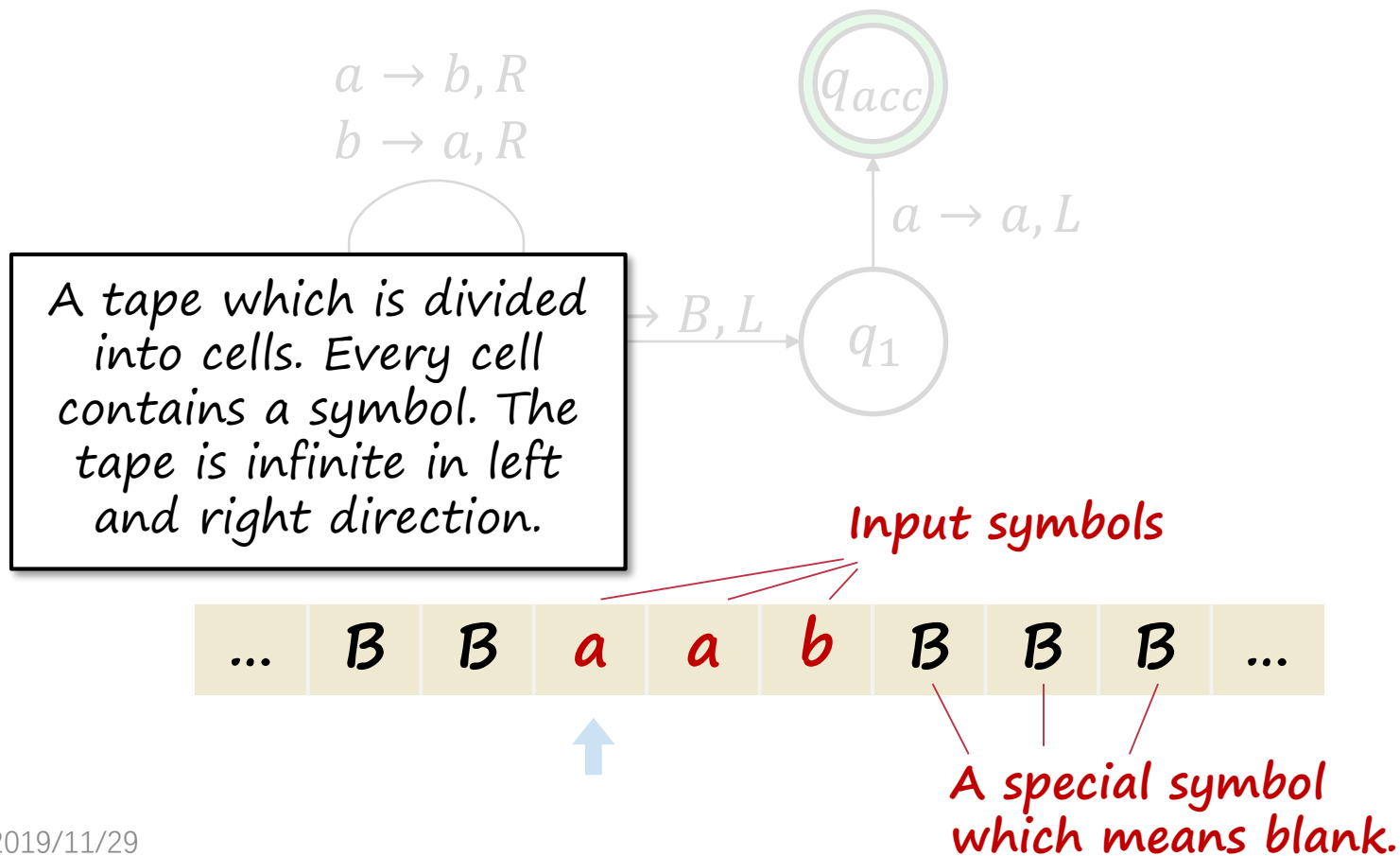
Turing Machine



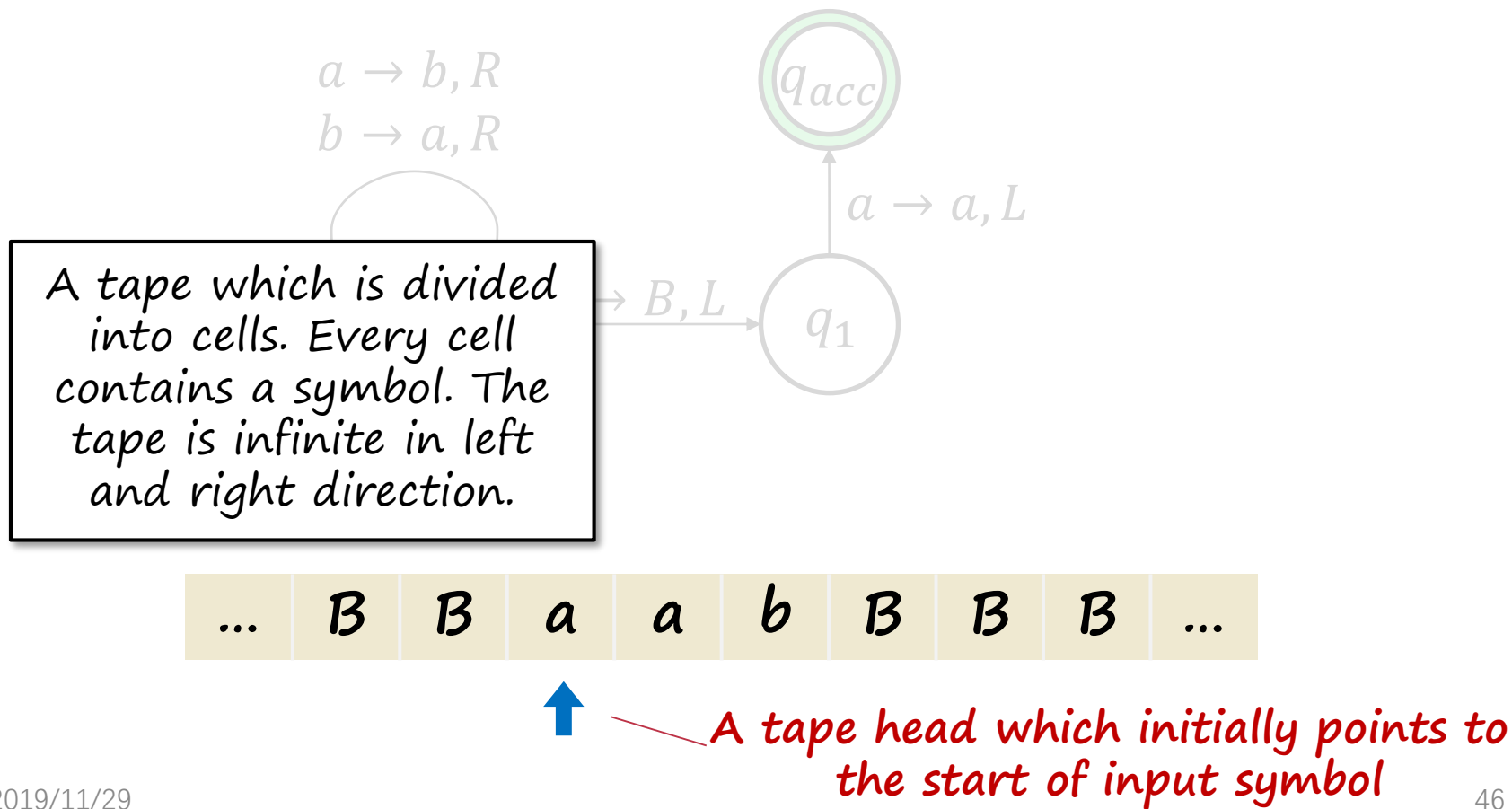
Turing Machine



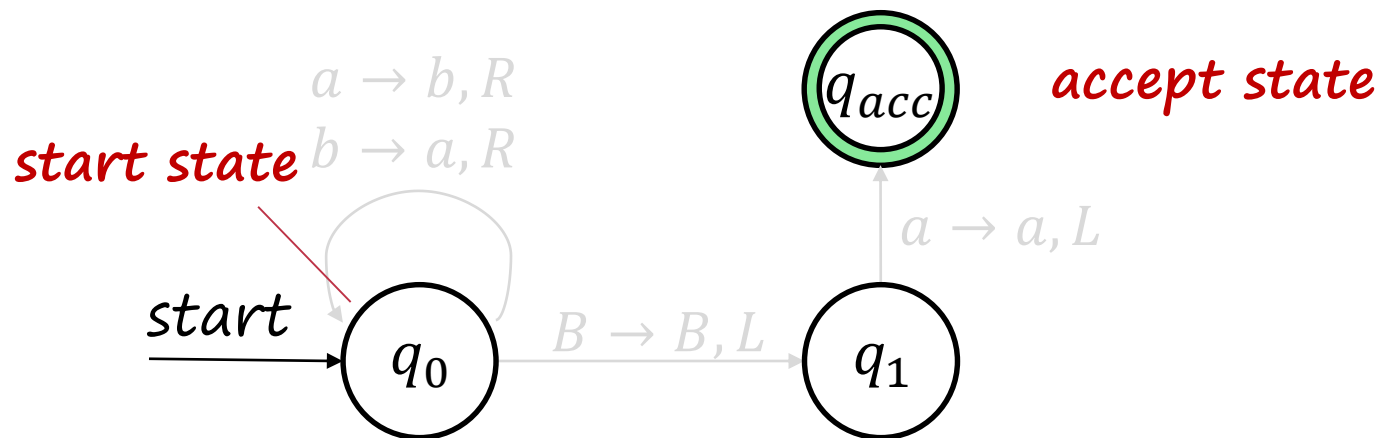
Turing Machine



Turing Machine



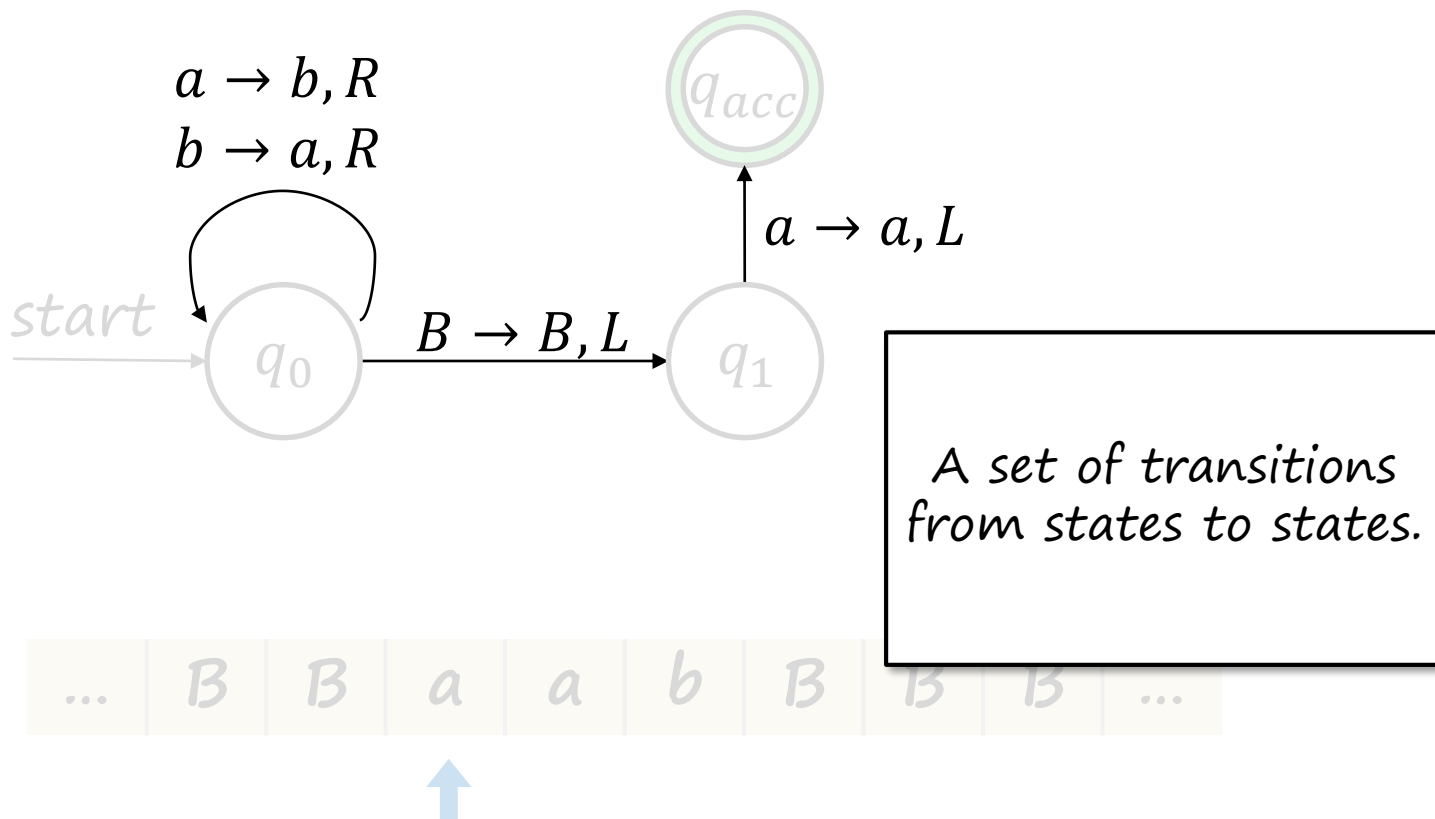
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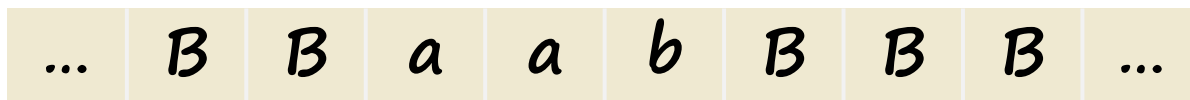
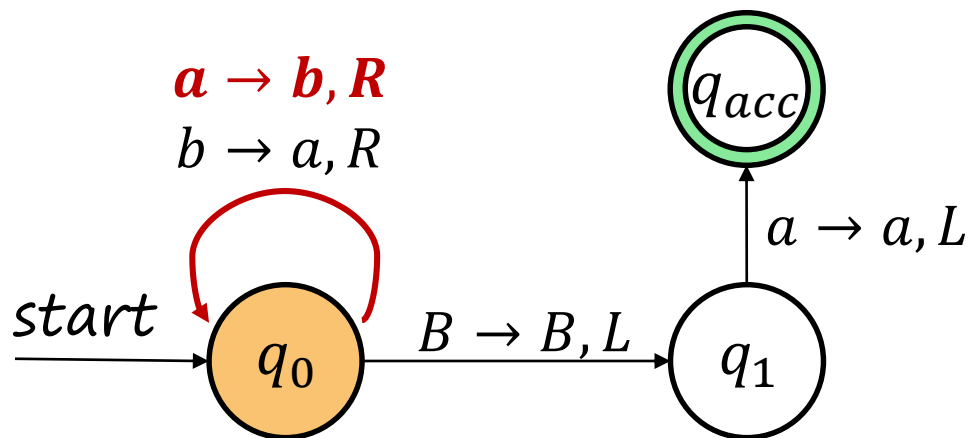
A set of states with a start state and several final or accept state.

a b B B B ...

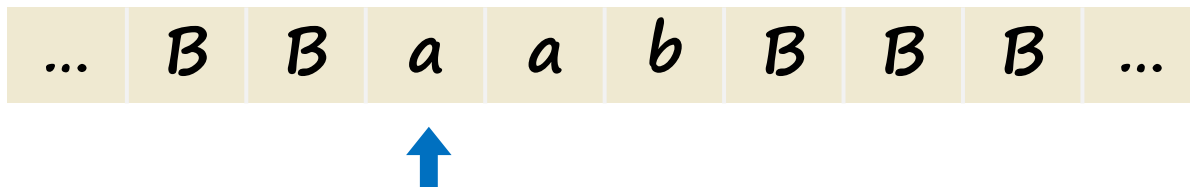
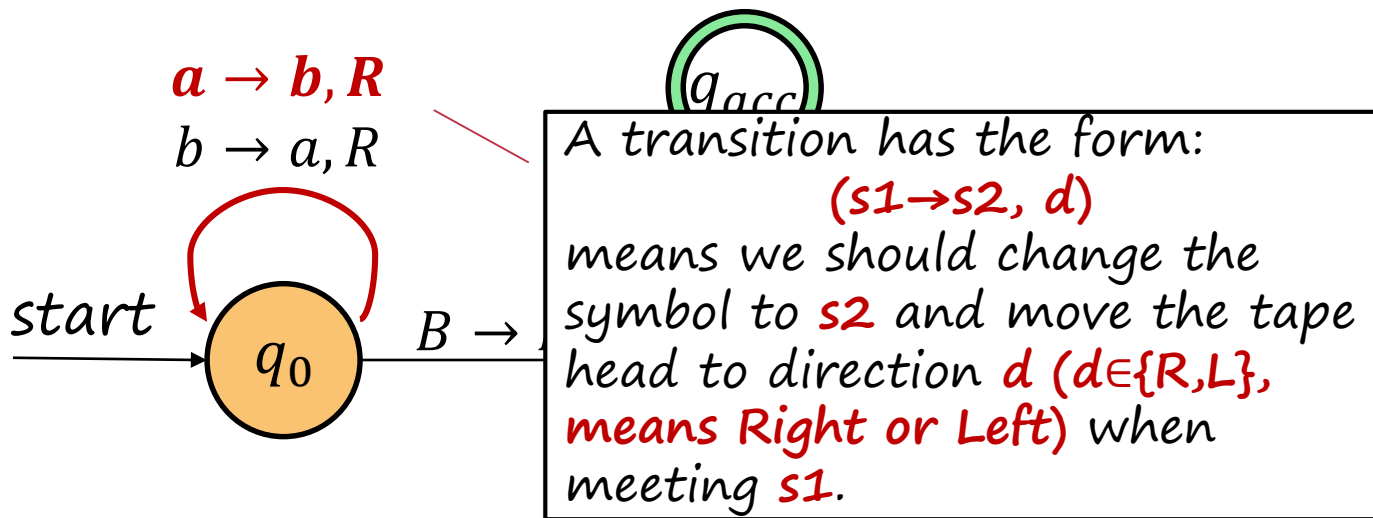
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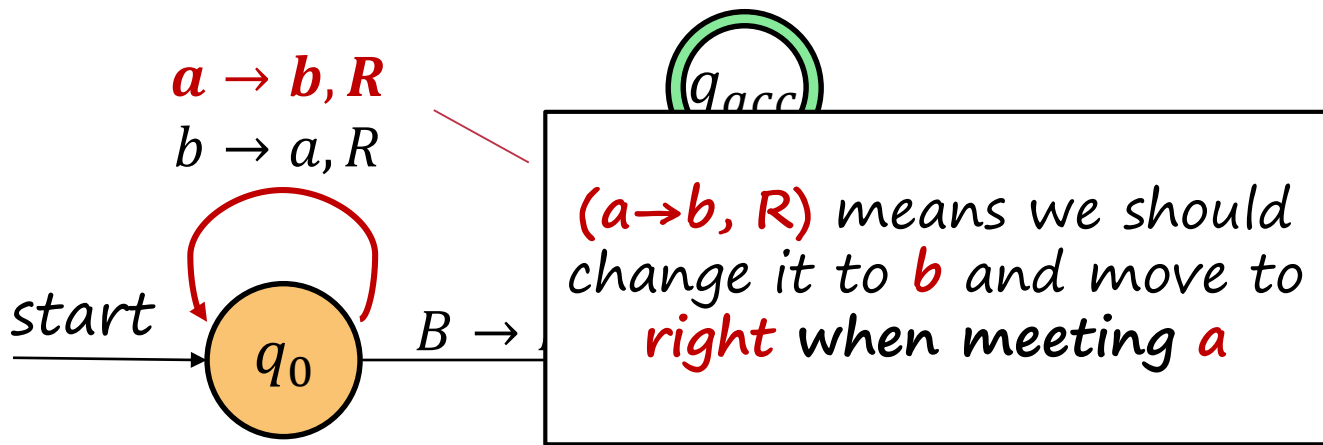
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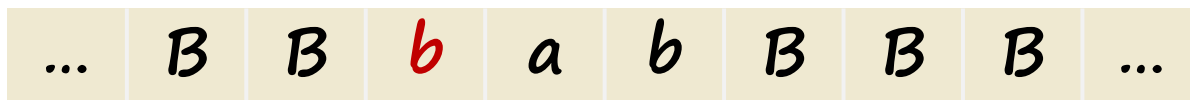
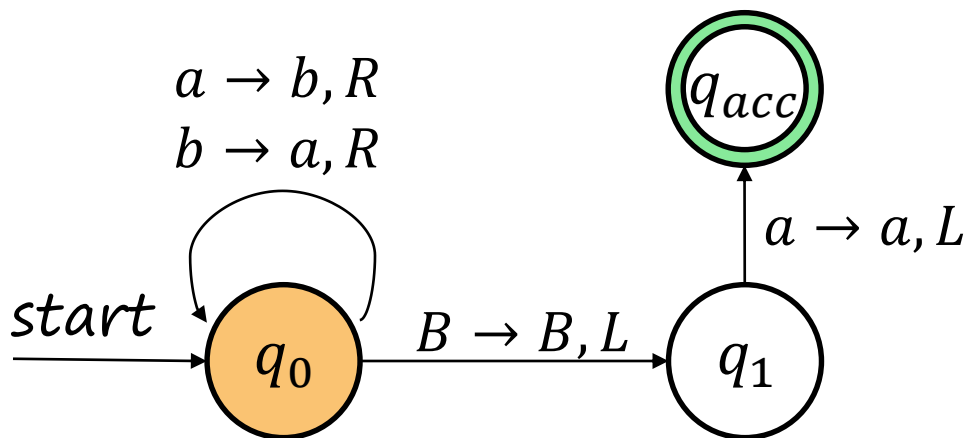
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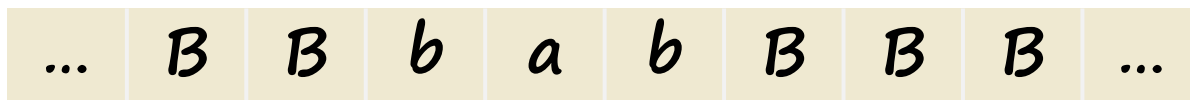
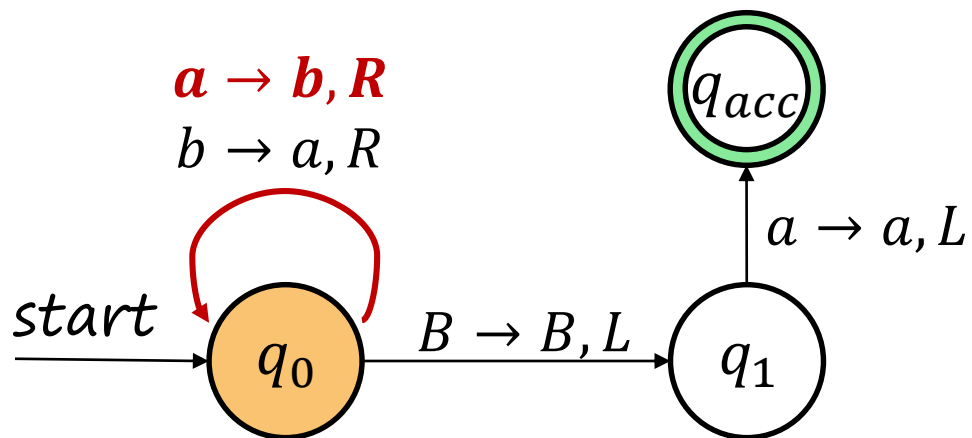
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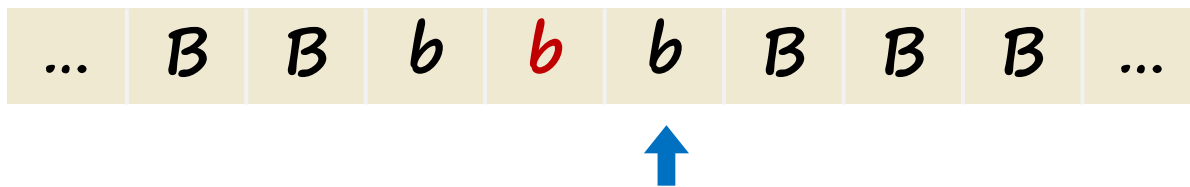
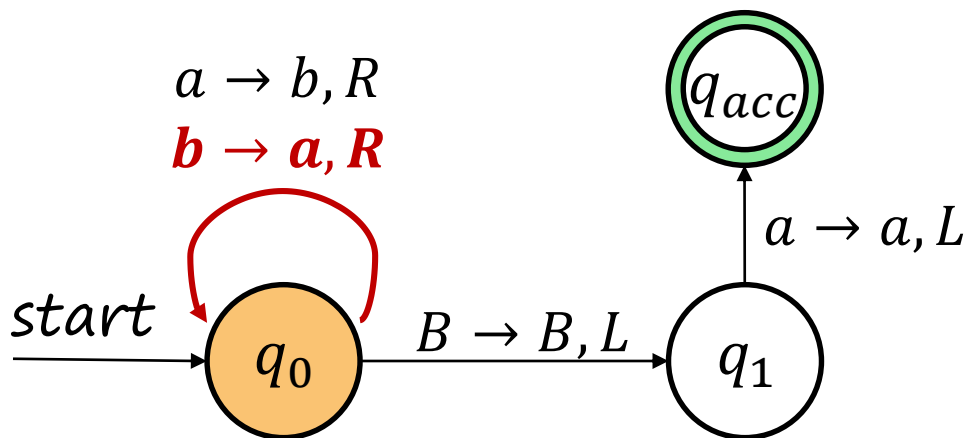
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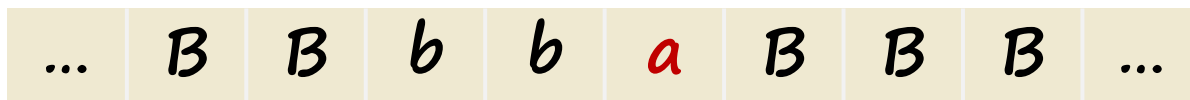
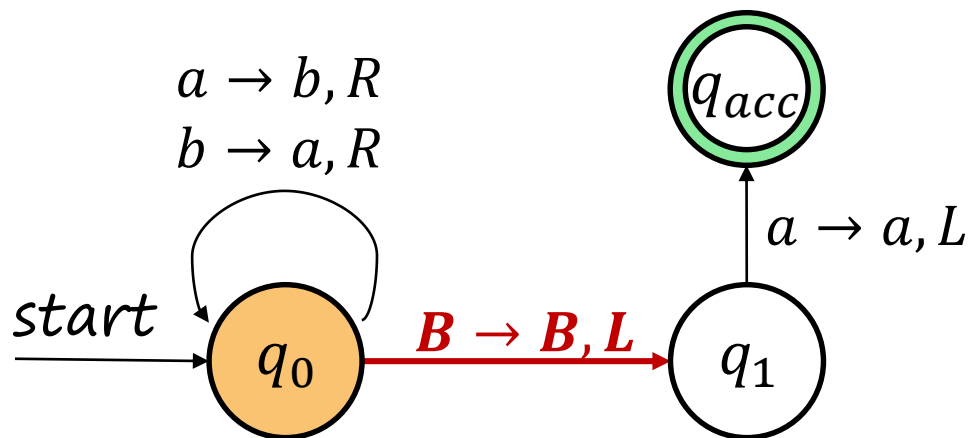
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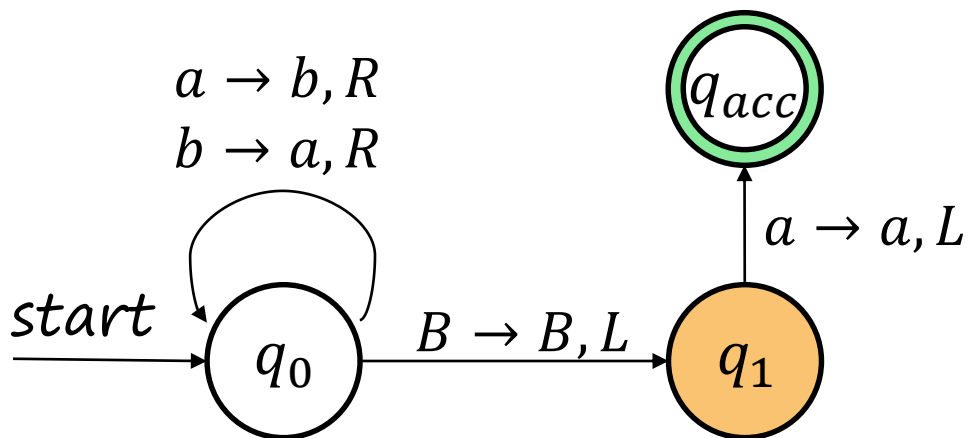
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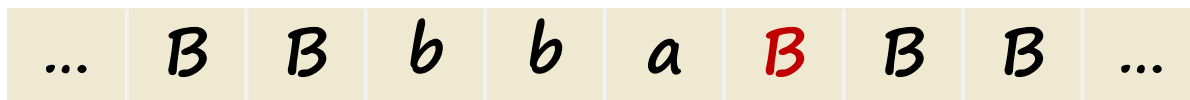
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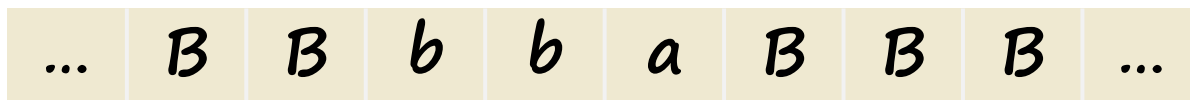
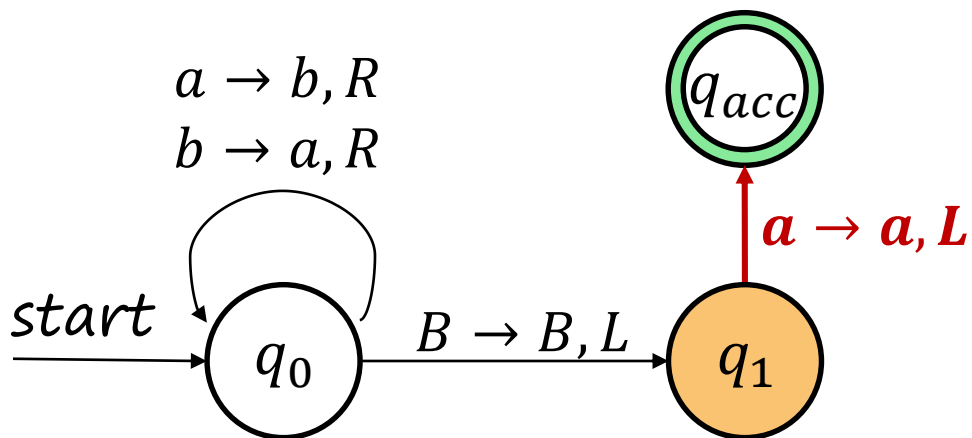
Turing Machine



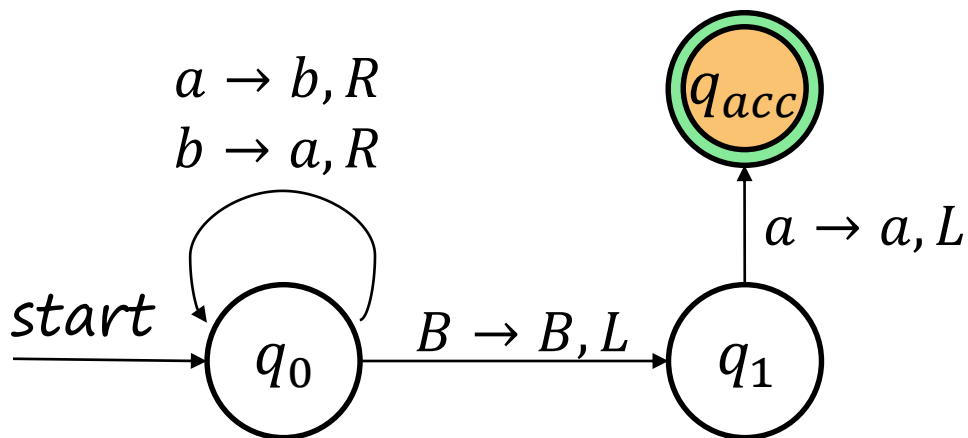
It's ok not to change the tape.



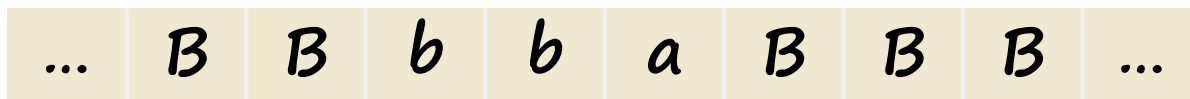
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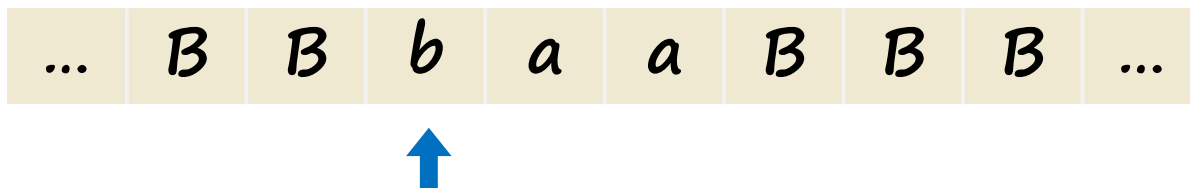
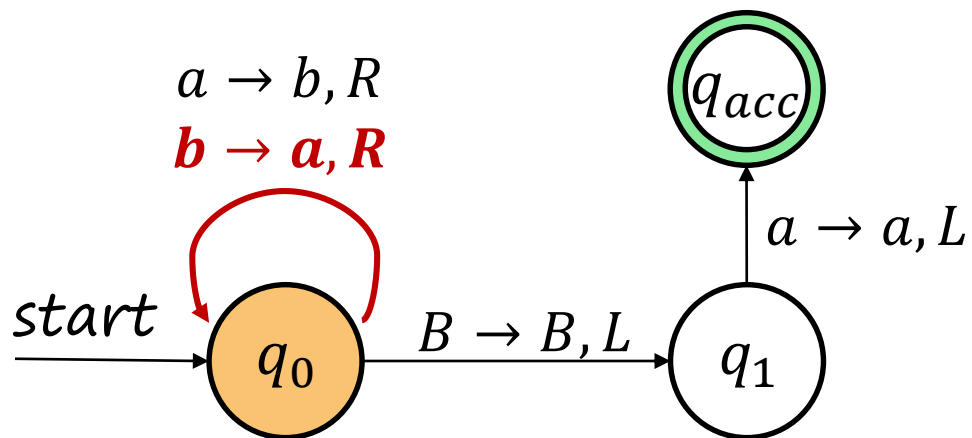
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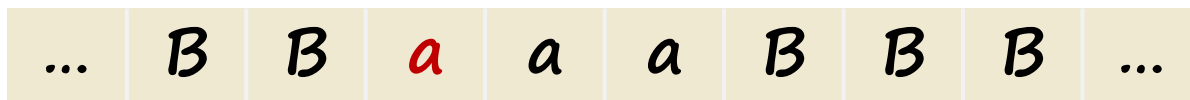
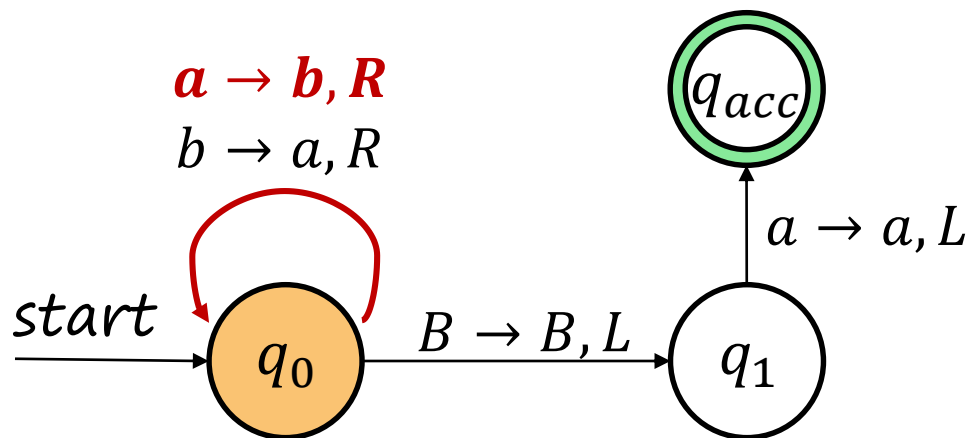
Accept the input **once** we get into the accept state.



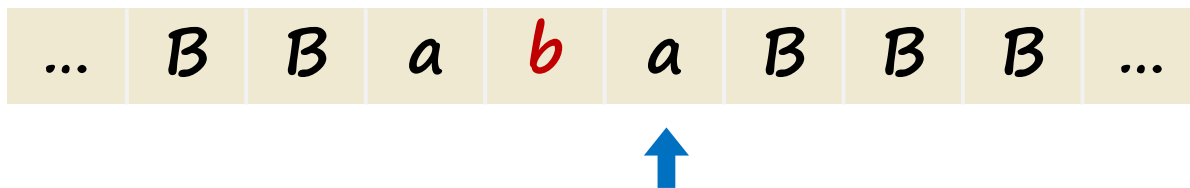
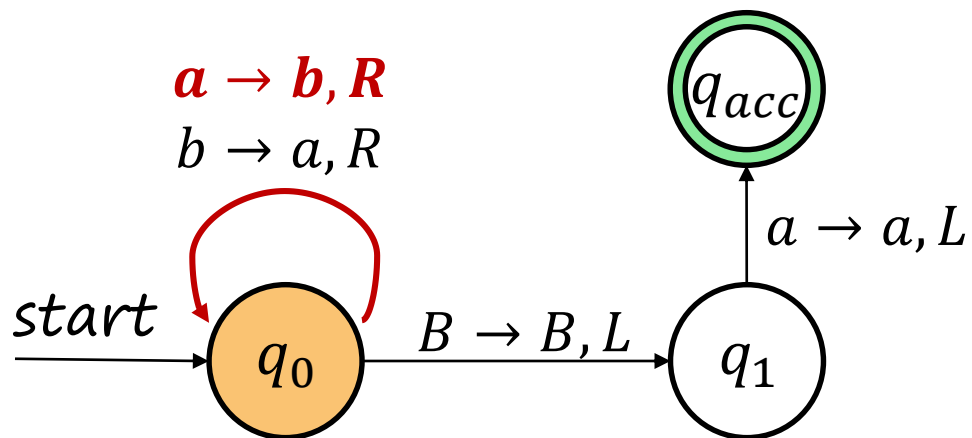
Turing Machine



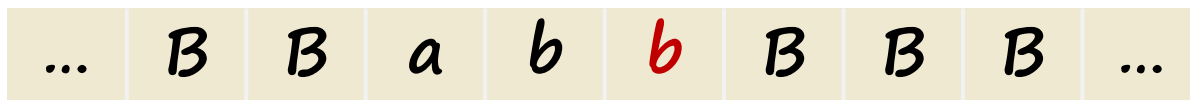
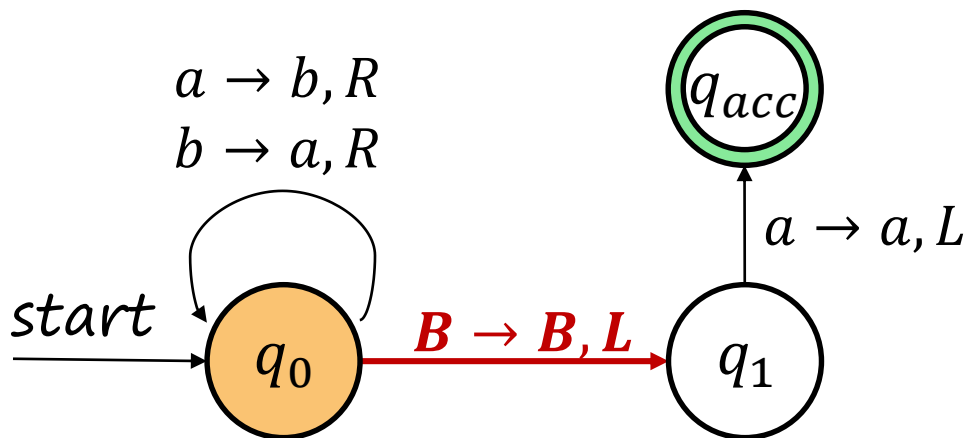
Turing Machine



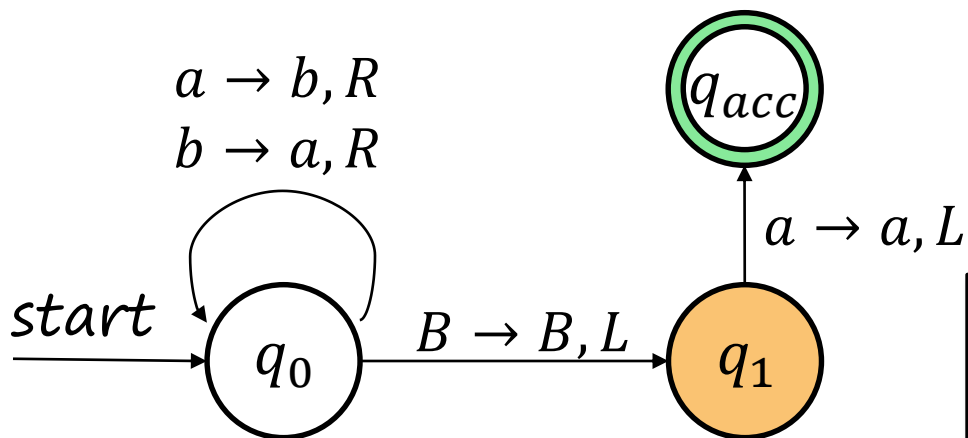
Turing Machine



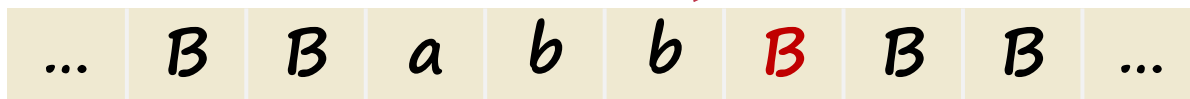
Turing Machine



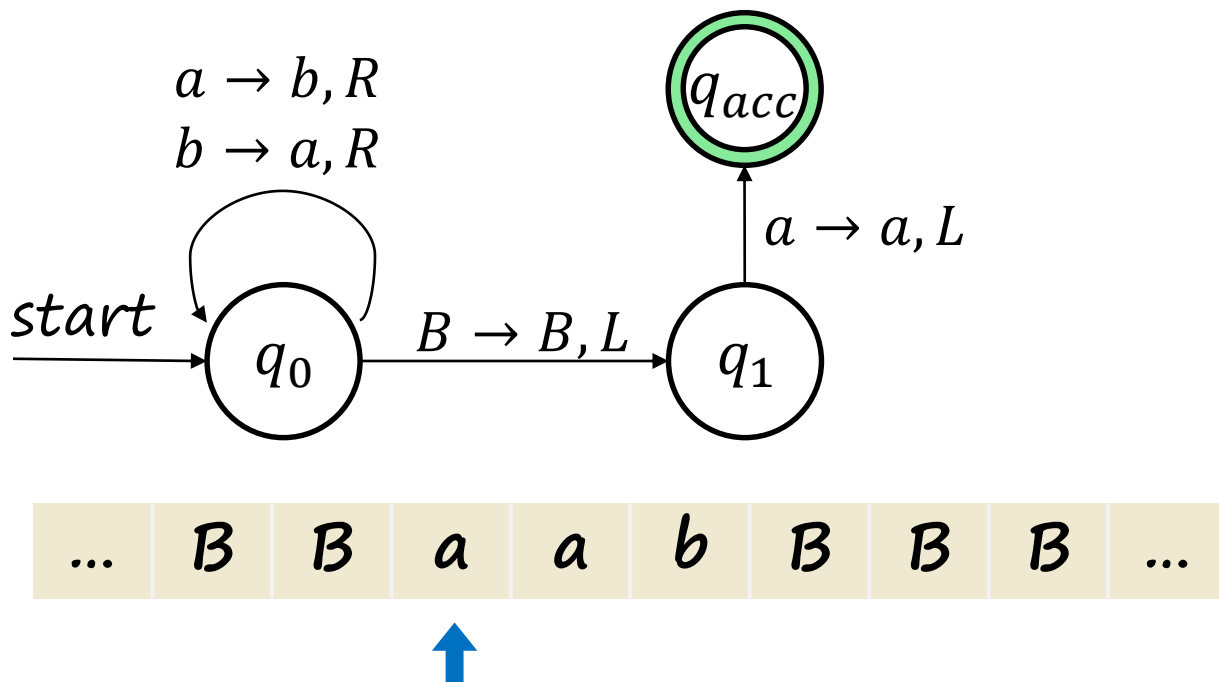
Turing Machine



We have no direction to go. The computation finishes, but doesn't accept the input



Turing Machine



$\{w \mid w \in \{a, b\}^* \wedge (w \text{ ends with } b)\}$

Turing Machine

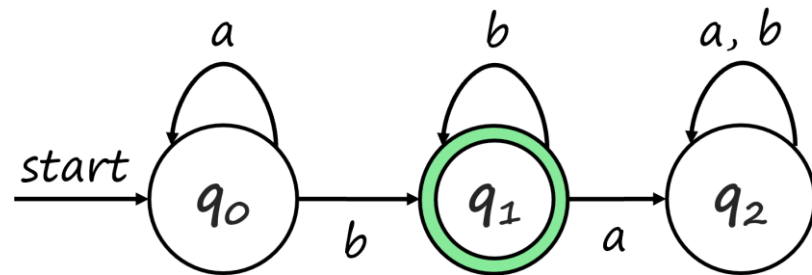
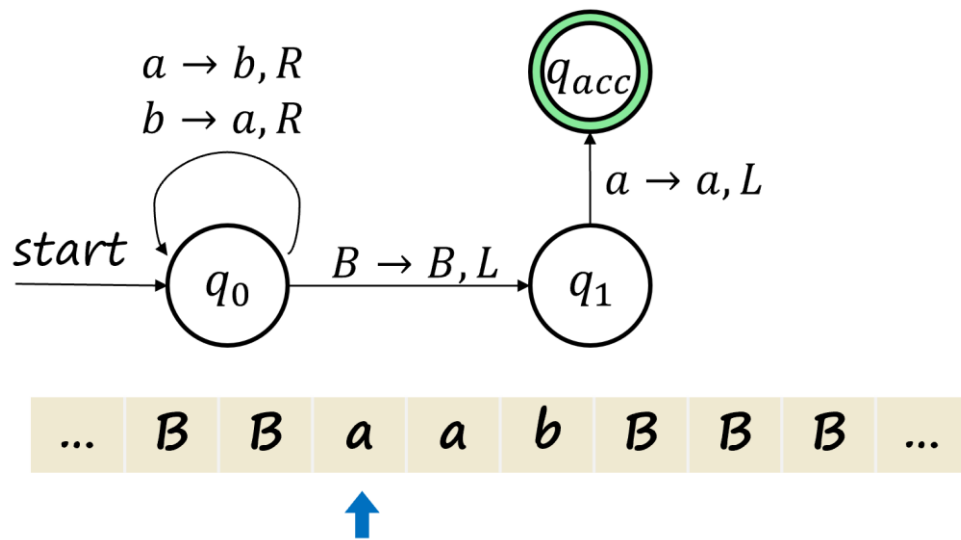
- A **Turing Machine** consists of:
 - Q : A finite set of states
 - Σ : A finite set of input symbols
 - Γ : The complete set of tape symbols. $\Sigma \subset \Gamma$
 - δ : A transition function. $Q \times \Sigma \rightarrow Q \times \Gamma \times \{L, R\}$
 - q_0 : The start state. $q_0 \in Q$
 - B : The blank symbol. $B \in \Gamma \wedge B \notin \Sigma$.
 - F : A set of final or accepting states. $F \subseteq Q$
- We can denote a Turing machine as a “seven tuple”
$$A = (Q, \Sigma, \Gamma, \delta, q_0, B, F)$$

Turing Machine

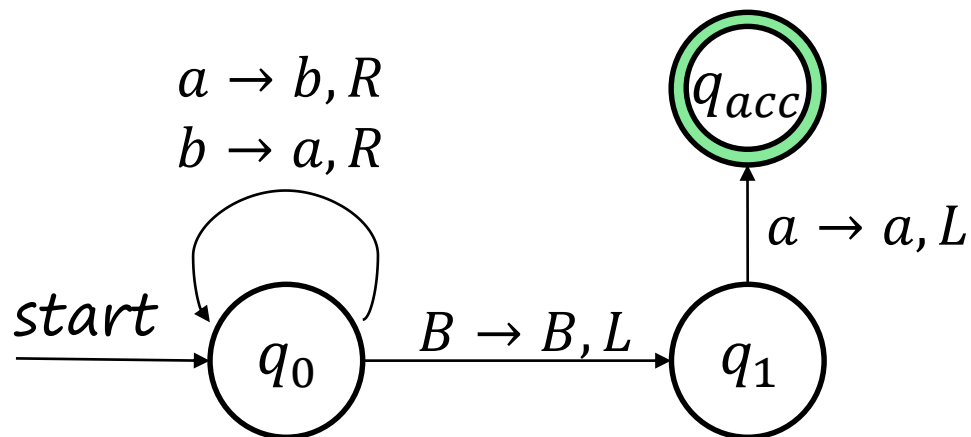
- Initially the input symbols are put into tape, and the tape head is pointed to the start of input symbols.
- Turing Machine finishes computation by moving the tape head. In a move, the Turing Machine will:
 - Change state
 - Write a tape symbol in the cell pointed
 - Move the tape head left or right.
- Turing Machine will accept the input once it gets into accept states, or finishes computation with rejection when no move can be made.

TM and DFA

- DFA has only a bunch of states but TM has an extra “memory-like” tape to store the execution state.
- TM has more capability than DFA
 - A DFA can be converted into a TM



Simpler Notations for TM



Transition
Diagram

		a	b	B
start state →	q_0	(q_0, b, R)	(q_0, a, R)	(q_1, B, L)
	q_1	(q_{acc}, a, L)	—	—
final state *	q_{acc}	—	—	—

Transition
Table

The Language of a TM

- Similar to DFA, the language of a TM $M = (Q, \Sigma, \Gamma, \delta, q_0, B, F)$ is set of strings that it accepts, or:

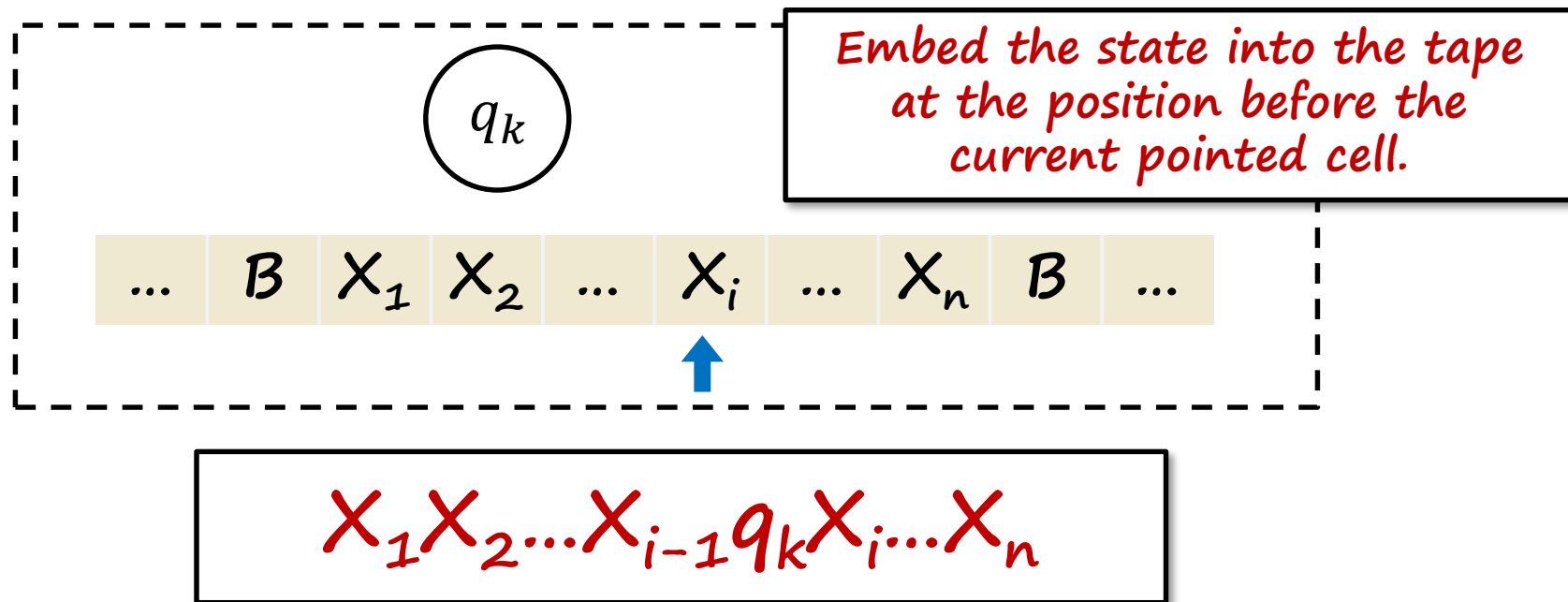
$$L(M) = \{w | w \in \Sigma^* \wedge p \in F \wedge q_0 w \vdash^* \alpha p \beta\}$$

- For a certain language L , if there exists a TM M such that $L = L(M)$, then we call L is a **recursively enumerable language (递归可枚举语言)**, or **RE**.

We'll talk more about RE in the next courses.

Instantaneous Descriptions for TM

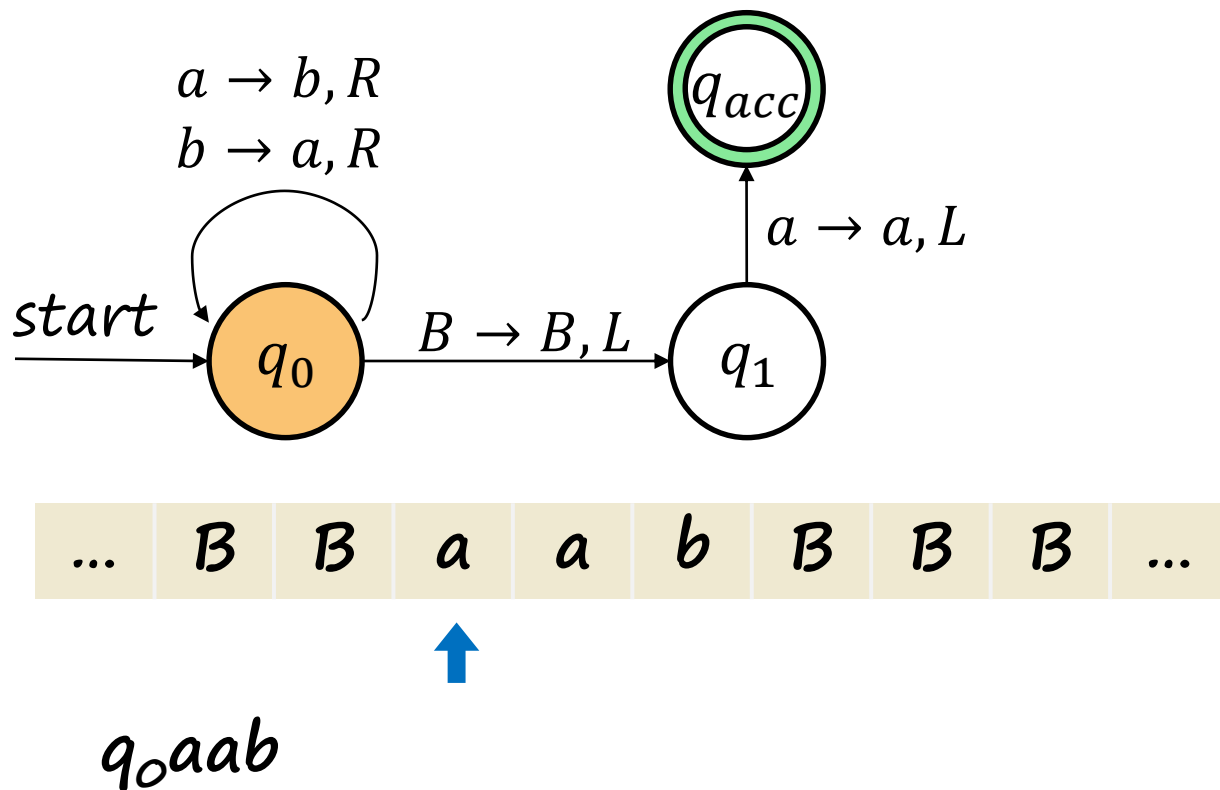
- **Instantaneous Descriptions (ID's)** formally describe what a Turing machine does.
 - Tape contents, Current state, Location of type head



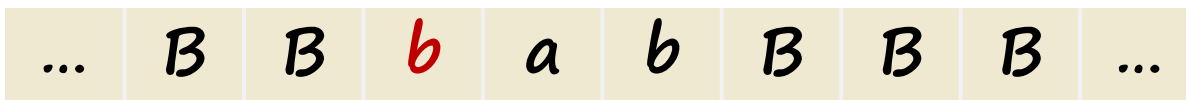
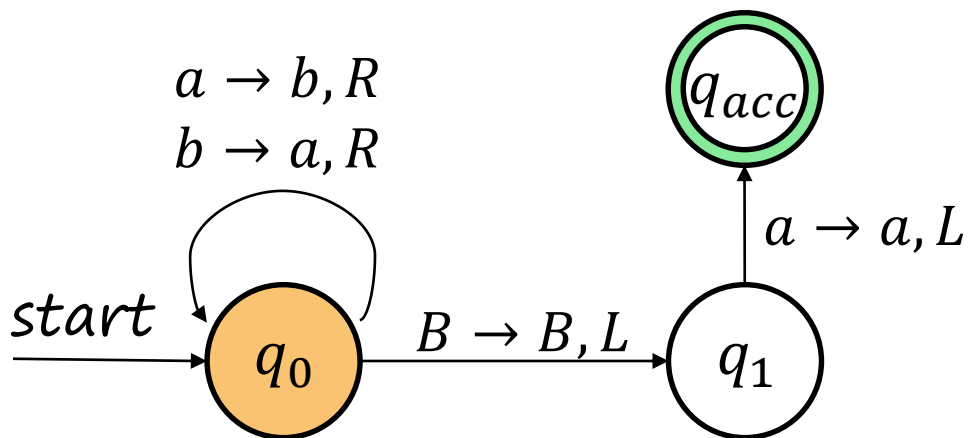
Instantaneous Descriptions(ID's) for TM

- Describe the moves of TM as $\vdash_M$
- If $\delta(q, X_i) = (p, Y, R)$ then
 - $X_1 X_2 \dots X_{i-1} \mathbf{qX_i} X_{i+1} \dots X_n \vdash_M X_1 X_2 \dots X_{i-1} \mathbf{Yp} X_{i+1} \dots X_n$
- If $\delta(q, X_i) = (p, Y, L)$ then
 - $X_1 X_2 \dots X_{i-1} \mathbf{qX_i} X_{i+1} \dots X_n \vdash_M X_1 X_2 \dots \mathbf{p} X_{i-1} \mathbf{Y} X_{i+1} \dots X_n$
- $\vdash_M^*$ indicated zero, one or more moves
 - $ID_1 \vdash_M^* ID_2$ means that ID_1 can be transformed to ID_2 through zero, one or more moves.

ID's and Moves

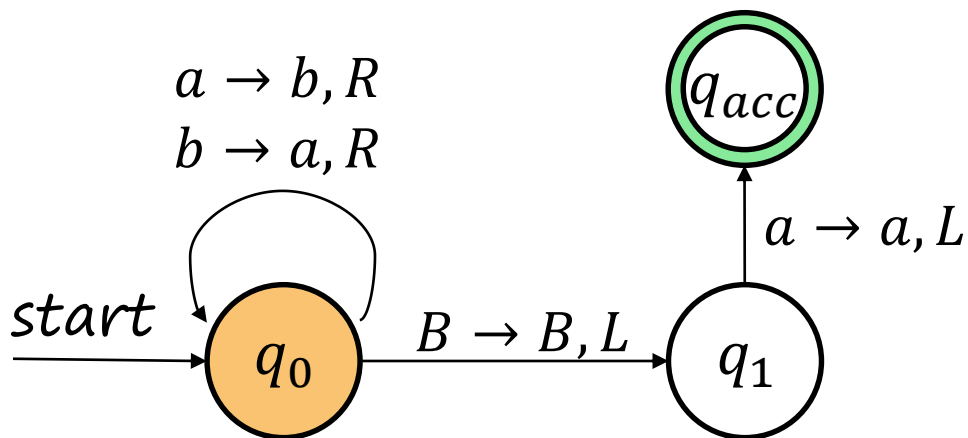


ID's and Moves



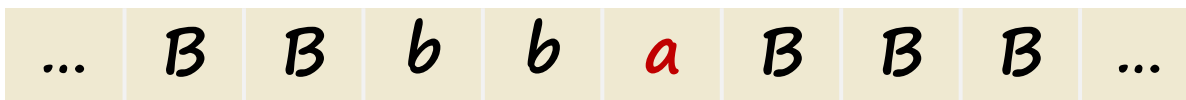
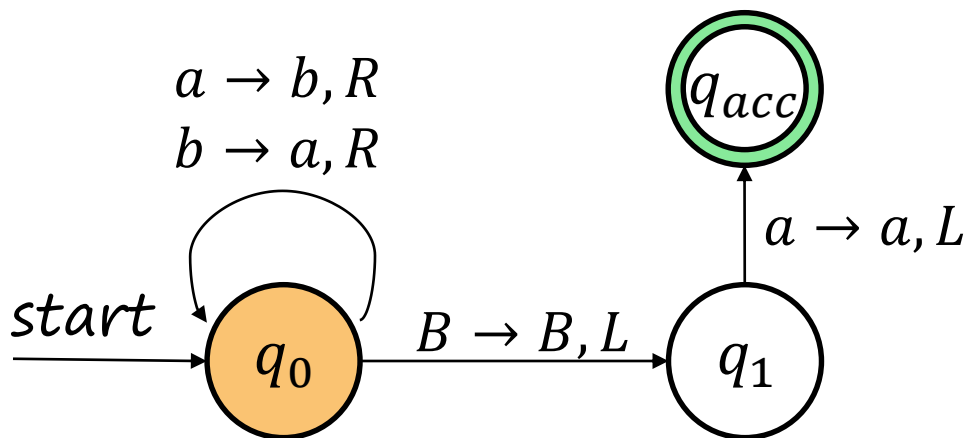
$$q_0 a a b \vdash_M b q_0 a b$$

ID's and Moves



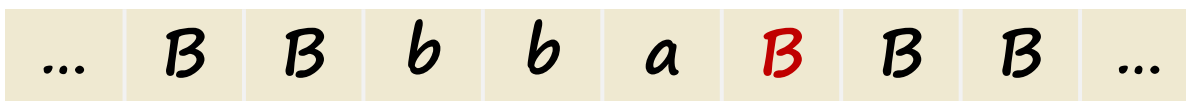
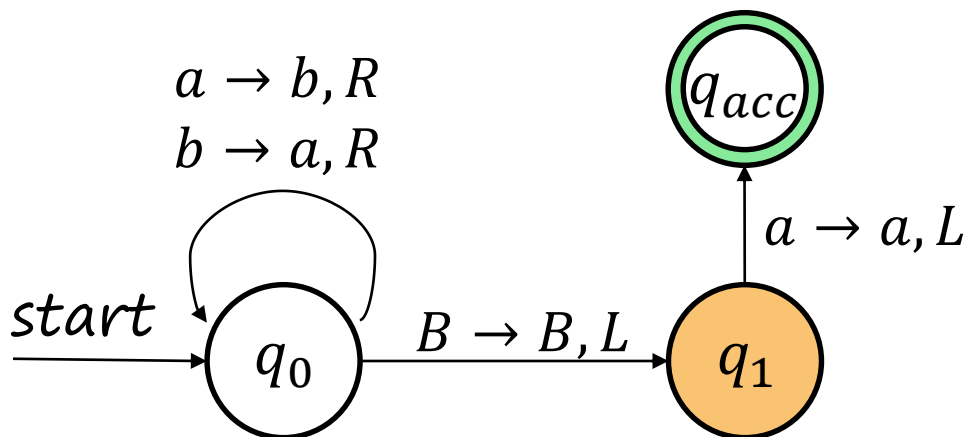
$q_0 a a b \vdash_M b q_0 a b \vdash_M b b q_0 b$

ID's and Moves



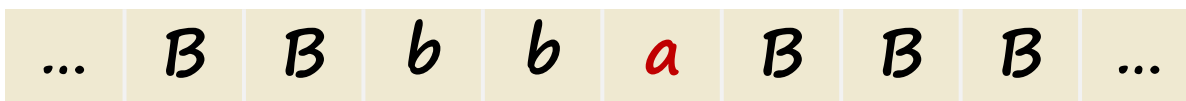
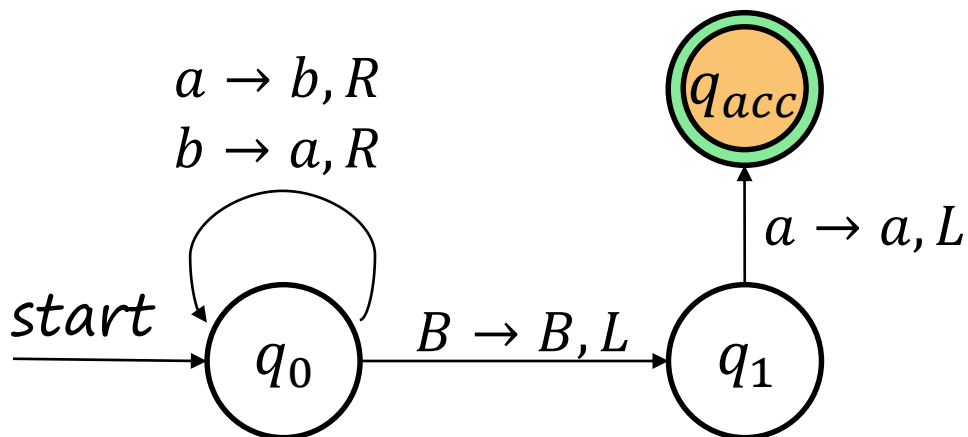
$q_0 a a b \vdash_M b q_0 a b \vdash_M b b q_0 b \vdash_M$
 $b b a q_0 B$

ID's and Moves



$q_0 a a b \vdash_M b q_0 a b \vdash_M b b q_0 b \vdash_M$
 $b b a q_0 B \vdash_M b b q_1 a B$

ID's and Moves



$q_0 a a b \vdash_M b q_0 a b \vdash_M b b q_0 b$
 $b b a q_0 B \vdash_M b b q_1 a B \vdash_M b q_{acc} b a$

Example: TM for $\{0^n 1^n\}$

- Count the number of 0 and the number of 1, and compare them.
 - But we have no idea how to store the number and compare them.
- Or when we see a 0, we try to find an 1, and repeat the process until we count every symbol.

Example: TM for $\{0^n 1^n\}$

- Our solution:
 - When we see a **0** at the beginning, we change this **0** to **X** to indicate we have counted it, and try to find an **1** by moving tape head to right.
 - When we find an **1**, we change this **1** to **Y**, and turn back to find another **0** at the beginning (right after an **X**).
 - Repeat the above process when there are only **X** and **Y** left on the tape, then we accept the input. Otherwise we reject the input.

Example: TM for $\{0^n 1^n\}$

We've found a *0*



Example: TM for $\{0^n 1^n\}$

Change it to X



Example: TM for $\{0^n 1^n\}$

Move right to find an **1**



Example: TM for $\{0^n 1^n\}$

We've found an **1**



Example: TM for $\{0^n 1^n\}$

Change it to **Y**



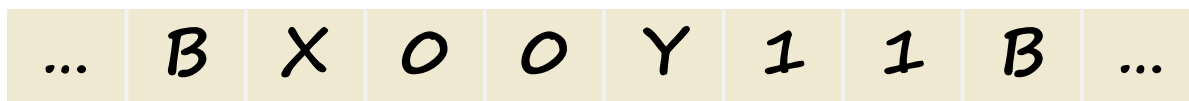
Example: TM for $\{0^n 1^n\}$

Turn back to find *o* at the beginning



Example: TM for $\{0^n 1^n\}$

Stop move left when we meet X.



Example: TM for $\{0^n 1^n\}$

Take a right move. We found another *0*.



Example: TM for $\{0^n 1^n\}$

Change it to **X** and continue.



Example: TM for $\{0^n 1^n\}$

Another 1.



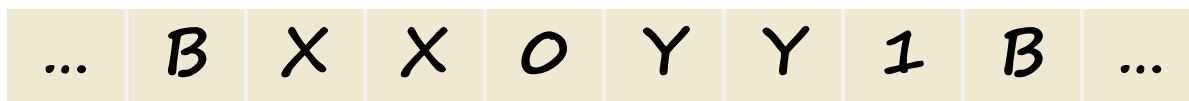
Example: TM for $\{0^n 1^n\}$

Change it to Y and turn back again.



Example: TM for $\{0^n 1^n\}$

Meet **X**, stop moving left.



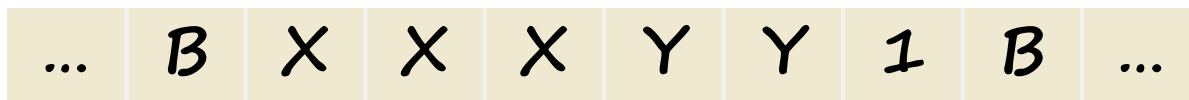
Example: TM for $\{0^n 1^n\}$

Another *0*.



Example: TM for $\{0^n 1^n\}$

Change it to X.



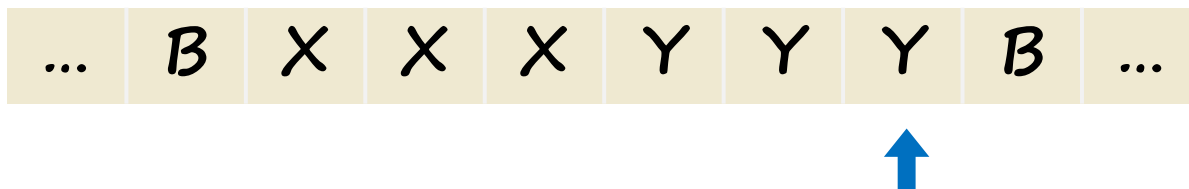
Example: TM for $\{0^n 1^n\}$

Another 1.



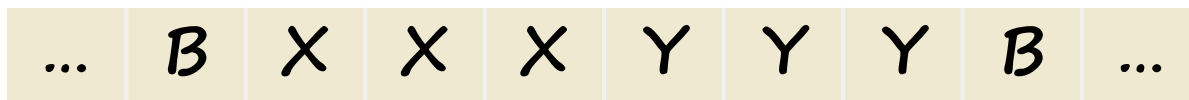
Example: TM for $\{0^n 1^n\}$

Change it and turn back again.



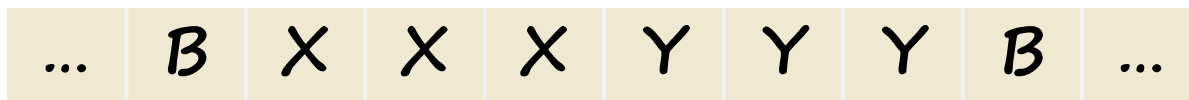
Example: TM for $\{0^n 1^n\}$

Meet **X**, take a right move.



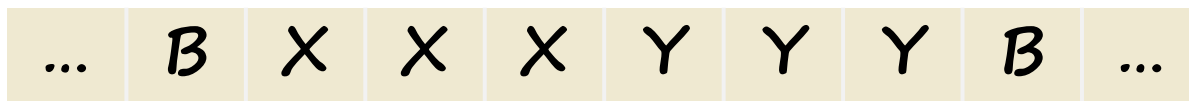
Example: TM for $\{0^n 1^n\}$

There's no *O* left. We need to check if there's only *X* and *Y* left on the tape.



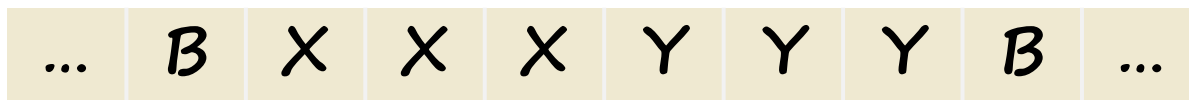
Example: TM for $\{0^n 1^n\}$

We only need to check towards the right direction as we know left if all $\times$.



Example: TM for $\{0^n 1^n\}$

We stop and accept the result until we meet a **B**.



Example: TM for $\{0^n 1^n\}$

	0	1	X	Y	B
$\rightarrow q_0$	(q_1, X, R)	—	—	(q_3, Y, R)	—
q_1	$(q_1, 0, R)$	(q_2, Y, L)	—	(q_1, Y, R)	—
q_2	$(q_2, 0, L)$	—	(q_0, X, R)	(q_2, Y, L)	—
q_3	—	—	—	(q_3, Y, R)	(q_4, B, R)
$* q_4$	—	—	—	—	—

Example: TM for $\{0^n 1^n\}$

1. Find a 0, change it to X and move right

2. Move right to find an 1

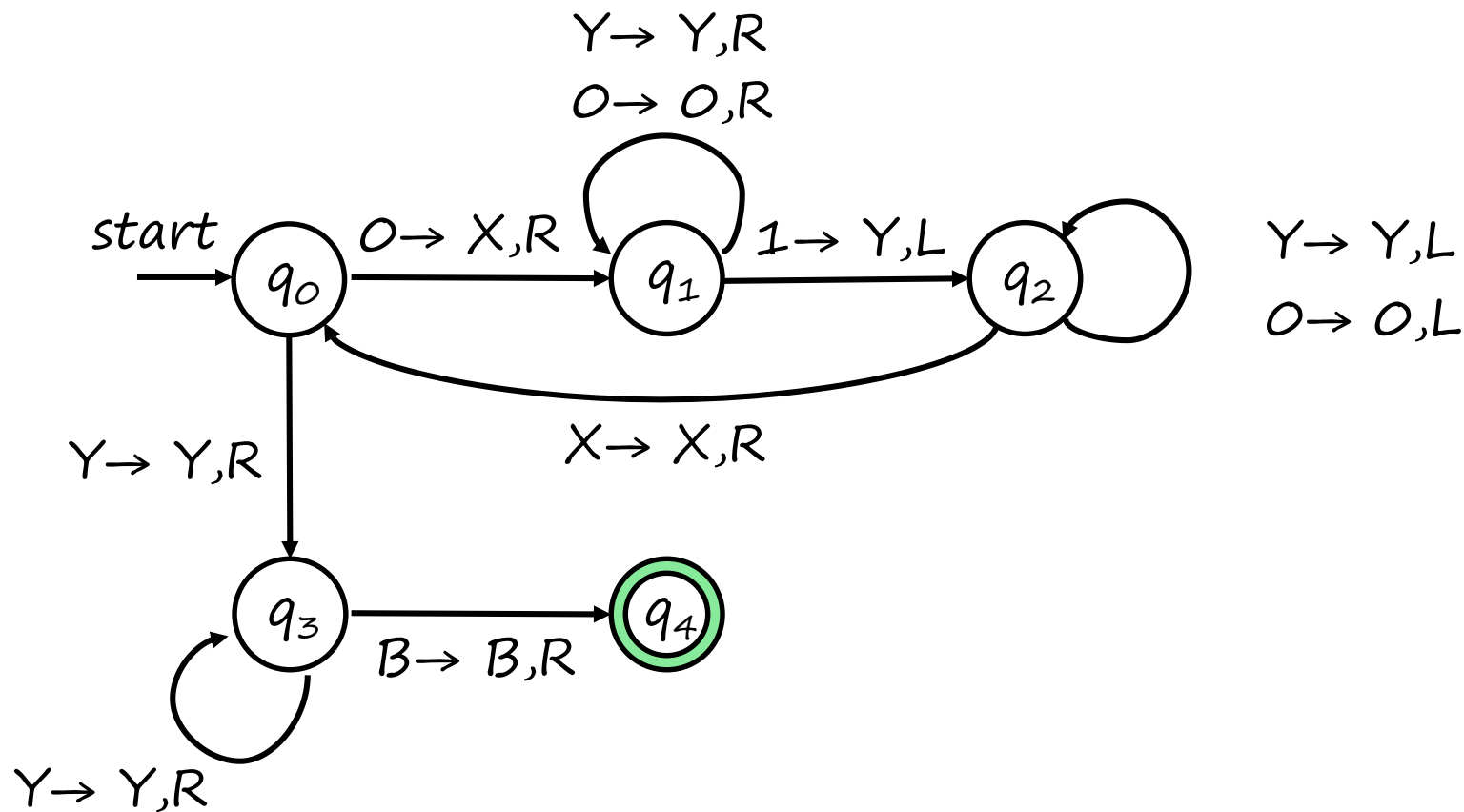
4. No 0 left, check if all symbol are Y

	0	1	X	Y	B
→ q_0	(q_1, X, R)	—	—	(q_3, Y, R)	—
q_1	$(q_1, 0, R)$	(q_2, Y, L)	—	(q_1, Y, R)	—
q_2	$(q_2, 0, L)$	—	(q_0, X, R)	(q_2, Y, L)	—
q_3	—	—	—	(q_3, Y, R)	(q_4, B, R)
* q_4	—	—	—	—	—

3. Move left to find an X

5. Accept when we meet a blank.

Example: TM for $\{0^n 1^n\}$





Next

How to model the computation process.

- Variants of TM: Multitrack, Multitape
- The equivalence of TM and ideal computer.
- Church-Turing thesis.